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<p>(54) Title: AN ADENOVIRUS SUPERVECTOR SYSTEM</p> <p>(57) Abstract</p> <p>An adenoviral supervector system is disclosed that is capable of expressing more than 7.5 kilobases of heterologous DNA in a replication defective adenoviral vector. The supervector system comprises an adenoviral vector construct and a helper cell. The vector construct is capable of being replicated and packaged into a virion particle in the helper cell. In particular, the helper cell expresses DNA from the E2 region of the adenovirus 5 genome and complements deletions in that region in the vector construct. In certain embodiments, the disclosed invention comprises tissue specific expression of up to 30 kb of heterologous DNA directed by an adenoviral vector. Also disclosed are methods of transferring heterologous DNA into mammalian cells.</p>			

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DESCRIPTION  
AN ADENOVIRUS SUPERVECTOR SYSTEM

BACKGROUND OF THE INVENTION

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1. Field of the Invention

The present invention relates generally to the field of viral vectors and the use of such vectors to express foreign DNA in mammalian cells. The invention also relates to the field of gene therapy and particularly gene therapy involving viral vectors to direct genetic material to be expressed in particular tissues. More particularly, it concerns adenovirus and the ability to displace a large amount of the adenoviral genome with heterologous DNA and to replicate the viral construct in a helper cell line and express the foreign DNA in a host cell.

20 2. Description of the Related Art

Gene therapy is an area that offers an attractive alternative for the treatment of many diseases and disorders. In particular, the ability of viruses to enter a cell and express its genetic material in the host cell raises the possibility of replacing lost or defective gene function *in vivo*. However, for gene therapy to succeed, there is a need for new vectors with the properties of high therapeutic index, large capacity, targeted gene delivery, and tissue-specific gene expression. High therapeutic index indicates high therapeutic effect with low adverse effect, or in other words, a high rate of cure or improvement of the disorder with low or no side effects. This is a pharmacological concept that applies to all therapeutic agents, including gene therapy vectors. However, currently available gene transfer vectors are not able to meet the requirement of

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high therapeutic index (Mulligan, 1993), because of the vector-borne cyto- or geno- toxicities that are associated with many of the current gene transfer vectors.

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Multiple and targeted gene transfer is particularly relevant to gene therapy for cancer (Friedmann, 1992). Throughout the last decade, studies of oncogenes and tumor suppressor genes have revealed more and more evidence that cancer is a disease developed through a process of multiple cytogenetic disorders (Chiao et al., 1990; Levine, 1990; Weinberg, 1991; Sugimura et al., 1992). Based on this concept of carcinogenesis, new strategies have developed rapidly as alternatives to conventional cancer therapy (Renan, 1990; Lotze et al., 1992; Pardoll, 1992). One of these is gene therapy (Friedmann, 1989), in which tumor suppressor genes, antisense oncogenes, and other related genes are used as therapeutic genes. Some strategies contemplated for gene therapy of cancer are the restoration of tumor-suppressor gene function, the blocking of oncogene expression and the correction of other gene-related disorders in cancer cells. It is believed that to achieve a maximal therapeutic effect, targeted delivery of a combination of these therapeutic genes by a single higher-capacity vector into cancer cells will be essential. Unfortunately, no such vector is currently available.

Another example of a disease for which a large capacity vector might be effective is Duchenne muscular dystrophy (DMD), a lethal, X-linked degenerative disorder of muscle, which affects about 1 in 35,000 newborn males. DMD is caused by a deficiency of dystrophin (Zubrzycka-Gaarn et al., 1988), a 427 kD protein encoded by a 14-kb transcript (Koenig et al., 1987). A possible therapy for this disease would be the restoration of dystrophin function by insertion and expression of the dystrophin

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5 gene in the patient's muscles (Blau, 1993; Cox et al., 1993). This therapy would require a vector that could efficiently deliver the 14 kb cDNA into muscle cells and specifically express the DMD protein in the muscle cells. Unfortunately, at the time of this disclosure, there is no vector system available which is capable of delivering more than 7.5 kb of DNA to be expressed in a specific tissue.

10 Retroviruses were the earliest gene transfer vectors. They were first used to insert gene markers and for transducing the cDNA of adenosine deaminase (ADA) into human lymphocytes (Miller, 1992). Unfortunately, retroviruses have several drawbacks as gene therapy agents, including genotoxicity caused by integration into the host genome, instability, dependence on target cell receptors for infection and proliferation only in actively dividing cells (Miller and Rosman, 1989; Major, 1992). In addition, the maximum gene-carrying capacity of retroviral vectors is under 10 kb (Morgenstern and Land, 1991).

25 Adeno-associated virus (AAV) has recently been developed as a gene transfer system. Wild-type AAV has high infectivity and specificity in integrating into the host cell genome (Hermonat and Muzychka, 1984; Lebkowski et al., 1988). However, experimental data has shown that recombinant AAV tend to have low titers and lose their specificity of integration (Samulski et al., 1989). 30 Also, the maximum gene-carrying capacity for AAV is under 5 kb (Walsh et al., 1992).

35 Herpes simplex virus type-1 (HSV-1) is attractive as a vector for applications directed to the nervous system because of its neurotropic property (Geller and Federoff, 1991; Geller, 1993). The HSV-1 genome has over 70 genes located along a 150-kb DNA molecule (Roizman and Sears,

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1990), but the largest foreign DNA insertion in the virus has been 7 kb (Knipe et al., 1978). For example, a helper cell line (E5 cells) available for the propagation of replication-defective HSV-1 has complemented the 5 kb 5 ICP4 gene (Deluca et al., 1985). However, because of its very complex genome, a much greater understanding of the interaction of HSV with host cells is required before a suitable HSV vector system can be engineered. In particular, an appropriate vector backbone has not been 10 developed and issues related to gene expression during latency have not been resolved (Glorioso et al., 1992).

Vaccinia virus, from the poxvirus family, has also been developed as an expression vector (Moss, 1991; Moss, 15 1992). The vaccinia genome is among the most complex of all animal viruses, comprising approximately 200 discrete protein-coding regions along a nearly 200-kb DNA molecule (Goebel, et al., 1990). It has been shown that approximately 25 kb of foreign DNA could be inserted into 20 the viral genome and packaged into the virion (Smith and Boss, 1983). However, the extreme cytotoxicity of vaccinia virus presents a limitation to its use in gene therapy applications. Until this is overcome, vaccinia virus will not be suitable for *in vivo* gene therapy. 25 Other potential viral vectors exist (Mulligan, 1993; Kriegler, 1990), but they either are not well characterized or do not have the necessary characteristics for a supervector system.

30 Adenoviruses have been widely studied and well-characterized as a model system for eukaryotic gene expression. Ad are easy to grow and manipulate, and they exhibit broad host range *in vitro* and *in vivo*. This group of viruses can be obtained in high titers, e.g., 35  $10^9$ - $10^{11}$  plaque-forming unit (PFU)/ml, and they are highly infective. The life cycle of Ad does not require integration into the host cell genome. The foreign genes

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delivered by Ad vectors are expressed episomally, and therefore, have low genotoxicity to host cells. Ad appear to be linked only to relatively mild diseases, since there is no known association of human malignancies  
5 with Ad infection. Moreover, no side effects have been reported in studies of vaccination with wild-type Ad (Couch et al., 1963; Top et al., 1971), demonstrating their safety and therapeutic potential as *in vivo* gene transfer vectors.

10

Ad vectors have been successfully used in eukaryotic gene expression (Levrero et al., 1991; Gomez-Foix et al., 1992) and vaccine development (Grunhaus and Horwitz, 1992; Graham and Prevec, 1992). Recently, animal studies  
15 demonstrated that recombinant Ad could be used for gene therapy (Stratford-Perricaudet and Perricaudet, 1991; Stratford-Perricaudet et al., 1990; Rich et al., 1993). Successful experiments in administering recombinant Ad to different tissues include trachea instillation (Rosenfeld  
20 et al., 1991; Rosenfeld et al., 1992), muscle injection (Ragot et al., 1993), peripheral intravenous injection (Herz and Gerard, 1993), and stereotactic inoculation into the brain (Le Gal La Salle et al., 1993).

25

Generation and propagation of the current Ad vectors depend on a unique helper cell line, 293, which was transformed from human embryonic kidney cells by AD5 DNA fragments and constitutively expresses E1 proteins (Graham, et al., 1977). Since the E3 region is dispensable from the Ad genome (Jones and Shenk, 1978), the current Ad vectors, with the help of 293 cells, carry foreign DNA in either the E1, the E3 or both regions (Graham and Prevec, 1991). In nature, Ad can package approximately 105% of the wild-type genome (Ghosh-Choudhury, et al., 1987), providing capacity for about 2 extra kb of DNA. Combined with the approximately 5.5 kb of DNA that is replaceable in the E1 and E3 regions, the  
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maximum capacity of the current Ad vector is under 7.5 kb, or about 15% of the total length of the vector. More than 80% of the Ad viral genome remains in the vector backbone and is the source of vector-borne cytotoxicity.

5 Also, the replication deficiency of the E1 deleted virus is incomplete. For example, leakage of viral gene expression has been observed with the currently available Ad vectors at high multiplicities of infection (Mulligan, 1993).

10

Another problem with the currently available vectors is the potential for generation of wild-type virus by recombination. This may occur because the left end of the current Ad vectors has a sequence of about 1.5 kb (9.8-14 mu) overlapping with the E1 fragment in 293 cells (Graham, et al., 1977). Homologous recombinations that generate wild-type virus were detectable when E1 substitution vectors were extensively amplified in 293 cells (personal communication, Dr. Richard Gregory, 20 CANJI, Inc., San Diego, CA).

Finally, Ad mutants with deletions at different regions of the viral genome have been rescued by helper viruses that provide the deleted gene products in trans.

25 In cell line W162 (Weinberg and Ketner, 1983), which was stably transfected with the E4 region DNA, the constantly expressed E4 proteins supported propagation of E4 deletion (92-99 mu) mutants (Bridge et al., 1993).

However, it has not been believed possible to delete the 30 large E2 region which would provide for the insertion of up to 35 kb of foreign DNA into the adenoviral vector.

Therefore, there still exists an immediate need for an adenoviral supervector system which will have a high 35 therapeutic index, a large carrying capacity of heterologous DNA and the capacity for targeted gene delivery and tissue specific expression. Such a vector

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system will have utility in a wide variety of in vivo and  
in vitro applications such as gene therapy protocols, the  
production of useful protein products in mammalian cell  
culture, as gene transfer markers or for the diagnosis of  
5 genetic deficiencies in particular cell lines.

SUMMARY OF THE INVENTION

The present invention seeks to overcome these and  
10 other drawbacks inherent in the prior art by providing an  
adenoviral "supervector" system wherein the E2 and,  
optionally, other Ad "early" gene regions have been  
removed. These "supervectors" have an extremely large  
capacity for carrying recombinant genetic material, due  
15 to the removal from them of all or part of the E2 region,  
which is approximately 16 kb in size, or optionally, the  
further removal of other early gene regions. A key  
aspect of the invention is the disclosure of technology  
for the development of helper cell lines in which these  
20 additional regions of the Ad genome are stably introduced  
into 293 cells or other recipient cells to establish new  
Ad helper cell lines. By supporting displacement of more  
than 80% of the remaining viral genome, cell lines such  
as these make possible an E2 supervector. The  
25 improvements of the E2 supervector over current Ad vector  
systems include the incorporation of up to 80% of the  
viral genome into the helper cell, a gene-delivery  
capacity of 30 kb, little possibility of wild type  
recombination and minimized vector-borne cytotoxicity.

30

The surprising discovery made by the present  
inventors that the adenoviral E2 regions could be  
expressed in a helper cell and would be able to  
complement adenoviral vectors with the corresponding  
35 regions deleted led to this invention. Before the  
present invention, complementation of the E2 regions of  
the adenoviral genome was not thought to be possible

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because of their size and complexity. For example, the E2A region which comprises about 6 kb, also comprises the L4 region in the reverse orientation. The E2B region, which is the largest of the early gene regions comprising  
5 about 10 kb, also comprises the major late promoter/tripartite leader region as well as the L1 gene in the reverse orientation. The tripartite leader region is a complex region of untranslated DNA that directs the cutting and splicing of the viral mRNA to direct the  
10 entire late life cycle of the virus. It is this complexity in a region that overlaps the E2B region that led to the acceptance in the art that E2B could not be deleted from adenoviral vectors. In contrast, the E1, E3 and E4 regions do not overlap any other structural  
15 regions of the genome. It is the ability to delete all these regions, E1, E3, E4 and E2 and the ability of the adenovirus to package 2 kb of extra DNA, that is made possible by the present invention and that allows the production of adenoviral "supervectors" with the capacity  
20 of carrying up to 30 kb of heterologous DNA.

An important embodiment of the present invention is a recombinant cell which expresses all or part of the E2 region of the adenoviral genome and is capable of  
25 supporting replication of an adenovirus vector construct having a corresponding E2 deletion. The recombinant cell is also referred to as the helper cell, because the expression of recombinant DNA in the cell supports replication of the viral vector. The helper cell of the present invention supports replication of the adenoviral vector by providing *in trans* the elements of the adenovirus genome which are necessary for the life cycle of the virus, but which have been deleted from the adenovirus vector. By *in trans* is meant that the  
30 adenoviral proteins are encoded on a segment of DNA that is separate from the adenovirus vector and still are  
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available to direct the complete viral life cycle of the vector.

The helper cell of the invention is derived from a mammalian cell and preferably from a human embryonic kidney cell. Preferably, the helper cell may be derived from 293 cells and the most preferred helper cell is the Ad5E2 cell. Although the present specification illustrates a particularly preferred helper cell, it is understood that an equivalent helper cell could be produced by one of skill in the art in light of the present invention. Any such helper cell which expresses the adenoviral genes necessary to complement in trans, deletions in the E2 region of the adenoviral genome, or which supports replication of an adenoviral vector comprising more than about 7.5 kb of heterologous DNA would also fall within the spirit and scope of the present claimed invention. For example, although primate cells are preferred and human or even human embryonic kidney cells are most preferred, any type of cell that is capable of supporting replication of the virus would be acceptable in the practice of the invention. Other cell types might include, but are not limited to Vero cells, CHO cells or any eukaryotic cells for which tissue culture techniques are established as long as the cells are adenovirus permissive. By adenovirus permissive is meant that the adenovirus is able to use the cellular environment to complete the entire intracellular virus life cycle.

30

As used herein, the term "recombinant" cell is intended to refer to a cell into which a recombinant gene, such as a gene from the adenoviral genome has been introduced. Therefore, recombinant cells are distinguishable from naturally occurring cells which do not contain a recombinantly introduced gene. Recombinant cells are thus cells having a gene or genes introduced

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through the hand of man. Within the present disclosure, the recombinantly introduced genes are from the E2 region of the adenovirus genome and are contained in a vector such as an Epstein-Barr virus-based vector, for example.

5

Another embodiment of the present invention is an adenovirus vector construct comprising more than 7.5 kb of heterologous DNA and wherein all or part of the E2 regions have been deleted and heterologous DNA inserted 10 in their place, and wherein the vector construct replicates in a helper cell. The examples of preferred embodiments provided herein make use of the adenovirus 5 serotype genome, however it is understood that other serotypes such as the adenovirus type 2 genome for 15 example, or other serotypes would also function in the practice of the invention. Prior to the present invention, the largest insert that could be contained in the vector was 5.5 kb, inserted in place of the E1 and E3 regions and including the additional 2 kb that the virus 20 can package. Because of the present invention, up to 30 kb of heterologous DNA can be contained in the vector. The present invention makes possible for example, deletion of the E1, E2, E3 and E4 regions or any 25 combination of these and replacement of the deleted regions with heterologous DNA.

It is understood that the adenovirus vector construct may therefore, comprise at least 10 kb or at 30 least 20 kb or even about 30 kb of heterologous DNA and still replicate in a helper cell. By replicate in a helper cell, is meant that the vector encodes all the necessary *cis* elements for replication of the vector DNA, expression of the viral coat structural proteins, packaging of the replicated DNA into the viral capsid and 35 cell lysis, and further that the *trans* elements are provided by the helper cell DNA. Replication is determined by contacting a layer of uninfected cells with

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virus particles and incubating said cells. The formation of viral plaques, or cell free areas in the cell layers is indicative of viral replication. These techniques are well known and routinely practiced in the art. It is  
5 understood that the adenoviral DNA that stably resides in the helper cell may comprise a viral vector such as an Epstein-Barr virus vector, or it may comprise a plasmid or any other form of episomal DNA that is stable, non-cytotoxic and replicates in the helper cell.

10

The adenovirus vector construct of the present invention will have a deletion of the adenoviral genome which is replaced with heterologous DNA. For example, the adenovirus vector construct of the present invention  
15 will have all or part of the E2 region deleted, or even deletions of the E1, E2, E3 and E4 regions of the genome or any combination of these and heterologous DNA will be inserted into the regions of the deletions. Any adenovirus vector of the present invention may also  
20 comprise 2 kb of additional DNA which is packageable in the virion capsid. Therefore, the virus vector will comprise no more than about 36 kb in order to be packaged in the virion, but the vector may comprise less than 36 kb or even less than 35 kb. In fact, no lower limit on  
25 the size of the vector has been established, except that it will contain all the *cis* acting elements necessary for replication.

By heterologous DNA is meant DNA derived from a  
30 source other than the adenovirus genome which provides the backbone for the vector. This heterologous DNA may be derived from a prokaryotic or a eukaryotic source such as a bacterium, a virus, a yeast, a plant or even an animal. The heterologous DNA may also be derived from  
35 more than one source. For instance, a promoter may be derived from a virus and may control the expression of a structural gene from a different source such as a mammal.

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Preferred promoters include viral promoters such as the SV40 late promoter from simian virus 40, the Baculovirus polyhedron enhancer/promoter element, Herpes Simplex Virus thymidine kinase (HSV tk), the immediate early 5 promoter from cytomegalovirus (CMV) and various retroviral promoters including LTR elements.

The promoters and enhancers that comprise the heterologous DNA will be those that control the 10 transcription of protein encoding genes in mammalian cells may be composed of multiple genetic elements. The term promoter, as used herein refers to a group of transcriptional control modules that are clustered around the initiation site for RNA polymerase II. Promoters are 15 believed to be composed of discrete functional modules, each comprising approximately 7-20 bp of DNA, and containing one or more recognition sites for transcriptional activator proteins. At least one module in each promoter functions to position the start site for 20 RNA synthesis. The best known example of this is the TATA box, but in some promoters lacking a TATA box, such as the promoter for the mammalian terminal deoxynucleotidyl transferase gene and the promoter for the SV 40 late genes, a discrete element overlying the 25 start site itself helps to fix the place of initiation.

Additional promoter elements regulate the frequency of transcriptional initiation. Typically, these are located in the region 30-110 bp upstream of the start 30 site, although a number of promoters have recently been shown to contain functional elements downstream of the start site as well. The spacing between elements is flexible, so that promoter function is preserved when elements are inverted or moved relative to one another. 35 Depending on the promoter, it appears that individual elements can function either cooperatively or independently to activate transcription.

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The heterologous DNA of the present invention may also comprise an enhancer. The basic distinction between enhancers and promoters is operational. An enhancer region as a whole must be able to stimulate transcription at a distance; this need not be true of a promoter region or its component elements. On the other hand, a promoter must have one or more elements that direct initiation of RNA synthesis at a particular site and in a particular orientation, whereas enhancers lack these specificities.

5            Aside from this operational distinction, enhancers and promoters are very similar entities. They have the same general function of activating transcription in the cell. They are often overlapping and contiguous, often seeming to have a very similar modular organization. Taken

10          together, these considerations suggest that enhancers and promoters are homologous entities and that the transcriptional activator proteins bound to these sequences may interact with the cellular transcriptional machinery in fundamentally the same way. It is

15          understood that any such promoter or promoter/enhancer combination may be included in the heterologous DNA of the adenoviral vector to control expression of the heterologous gene regions.

25          The heterologous DNA may include more than one structural gene under the control of the same or different promoters. The heterologous DNA may also include ribosome binding sites and polyadenylation sites or any necessary elements for the expression of the DNA

30          in a eukaryotic or a mammalian cell. These vector constructs are created by methods well known and routinely practiced in the art such as restriction enzyme digestion followed by DNA ligase directed splicing of the various genetic elements. The heterologous DNA may

35          further comprise an inducible promoter. An inducible promoter is a promoter which is inactive or exhibits low activity except in the presence of an inducer substance.

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Some examples of inducible promoters that may possibly be included as a part of the present invention include, but are not limited to, MT II, MMTV (mouse mammary tumor virus), Collagenase, Stromelysin, SV40, Murine MX Gene, 5  $\alpha$ -2-Macroglobulin, MHC Class I Gene H-2kb, HSP70, Proliferin, Tumor Necrosis Factor or Thyroid Stimulating Hormone  $\alpha$  Gene. However, it is understood that any inducible promoter may be used in the practice of the invention and all such promoters/enhancers would fall 10 within the spirit and scope of the claimed invention.

Another type of promoter that may comprise a portion of the heterologous DNA is a tissue specific promoter. A tissue specific promoter is a promoter that is active 15 preferentially in a cell of a particular tissue type, such as in the liver, the muscle, endothelia and the like. Some examples of tissue specific promoters that may be used in the practice of the invention include the BSA promoter to be expressed in the liver or the 20 surfactin promoter to be expressed in the lung, with the muscle creatine kinase enhancer combined with the human cytomegalovirus immediate early promoter being the most preferred for expression in muscle tissue, for example.

25 Another embodiment of the invention is a virion particle containing the packaged adenovirus vector construct. The virion particle is capable of and may be employed to infect cells as a means of introducing the vector DNA into cells wherein the heterologous DNA is 30 expressed. The virion capsid may be identical in structure to the "wild type" adenovirus 5 capsid or it may be altered. Such alterations may include the incorporation of cell targeting agents such as antibodies or cell receptor recognition peptides to target the 35 virions to particular cells.

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The targeting mechanism may include the insertion in the viral capsid proteins in either the Ad helper cell or in the vector that express, e.g. a binding site peptide sequence or a ligand peptide that would serve to target  
5 the virion to a particular type of cell, or only to cells expressing certain surface proteins. One particularly useful example would be the expression of the Fc binding region from protein A on the surface of the viral capsid. These altered viruses could then be treated with an  
10 antibody specific for a certain cell or tissue type. The virus capsid would bind to the antibodies and would then be directed to the cell recognized by the antibodies. Another example is the expression of a ligand binding site on the surface of the virus. In this example, the  
15 virus would bind to the ligand on the targeted cell or tissue surface. Another example of targeting would be the expression of an epitope on the virus capsid. In this technique, the virus particles would be treated with monoclonal antibodies to the epitope conjugated with a  
20 cell or tissue targeting ligand to direct the virus to the target cell or tissue. It is also understood that the virus may be directly applied to a target area. A preparation containing the virus particles could be injected into a local area, such as an organ or into a  
25 tumor.

The invention may also be described as an adenovirus vector construct comprising at least about 200 base pairs of the left ITR region of the adenovirus genome, more  
30 than 7.5 kilobases or about 10 kilobases or about 20 kilobases or even about 30 kilobases of heterologous DNA and at least about 200 base pairs of the right ITR region of the adenovirus genome. As used herein, the adenovirus genome is the adenovirus type 5 genome as described in  
35 FIG. 1, or even the adenovirus type 2 genome. In its most preferred embodiment, the left and right ITR regions will flank the heterologous DNA and contains said

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heterologous DNA between them. However, any arrangement of the viral and heterologous DNA that is able to replicate in the helper cell is acceptable and is included as a part of the present invention.

5

In certain embodiments, the present invention is a method of expressing a foreign gene in a mammalian cell. This method would comprise the steps of obtaining an adenoviral vector construct comprising more than 7.5 kb 10 of heterologous DNA, replicating the adenoviral vector construct in a helper cell, obtaining virion particles produced by the helper cells and infecting mammalian cells with the virion particles.

15

The foreign gene to be expressed as described in the preceding paragraph may of any origin, for example, a bacterium, a yeast, a plant, an animal or even a human gene. Preferably the adenovirus vector construct contains a deletion in the E2 region of the genome and 20 the foreign gene is inserted in its place. In the preferred method, the helper cell would express the adenovirus E2 region DNA, thereby supporting replication of the vector. In the most preferred embodiment the helper cell is an Ad5E2 cell.

25

The virion plaques that would be produced by the replicating viral vector and would thus lyse the host cell can be obtained by any acceptable means. Such means would include filtration, centrifugation or preferably 30 physical touching of viral plaques. All such methods of obtaining virion particles and infecting mammalian cells with the particles are well known to those of skill in the art.

BRIEF DESCRIPTION OF THE DRAWINGS

The following drawings form part of the present specification and are included to further demonstrate certain aspects of the present invention. The invention may be better understood by reference to one or more of these drawings in combination with the detailed description of specific embodiments presented herein.

- 10 FIG. 1. This FIG. depicts the structure of the Ad5 genome. The genome is divided into 100 map units (mu). The open arrows represent early (E) transcription and the solid arrows represent late (L) transcription. The direction of transcription is indicated by arrows. Gaps  
15 in arrows indicate intervening sequences. The hatched box represents location of major late promoter and tripartite leader sequences (MLP/TL). The numbers in parenthesis indicate the map units.
- 20 FIG. 2. This FIG. is a flow diagram of the generation of the Adh1 vectors. The 59.5-100 mu fragment was isolated from the plasmid pFGdx1 (Haj-Ahmad and Graham, 1986) and inserted into the pCEP4 vector, resulting in Adh1.1 or Adh1.2.
- 25 FIG. 3. This FIG. shows the morphology of pAdh-transfected Vero cells and the result of H5dl1011 infection. These are phase-contrast images of the Vero transfectants (100x). Top row, left to right are vector transfected Vero cells (Vc); pAdh1.2 transfected Vero cells (V1.2); and pAdh1.1 transfected Vero cells (V1.1). Bottom row left to right are Vc cells infected with H5dl1011; V1.2 cells infected with H5dl1011; and V1.1 cells infected with H5dl1011. The H5dl1011 viruses were used at 5 PFU/cell. Cytopathic effect of Ad is obvious in H5dl1011 infected V1.1 cells at 48 hours after infection.

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FIG. 4A. FIG. 4A and FIG. 4B show the electrophoretic analysis of H5dl1011 DNA replication and capsid protein accumulation in pAdh clones. This FIG. is an autoradiogram of agarose gel electrophoresis of the low molecular weight DNA isolated from the Vc, V1.1 and V1.2 cells that were infected with H5dl1011 at 5 PFU/cell for 24 hours and then radiolabeled with  $^{32}\text{P}$  for 24 hours. DNA sources and treatments are indicated at the top of each lane.

10

FIG. 4B. This FIG. is an SDS-PAGE analysis of the protein samples of cells that were infected with H5dl1011 at 5 PFU/cell for 36 hours and then radiolabeled with  $[^{35}\text{S}]\text{-Methionine}$  for 24 hours. Protein sources are indicated at the top of each lane. Roman numerals indicate the viral capsid proteins accumulated in the V1.1 cells.

20 FIG. 5. This FIG. is a flow chart for the generation of plasmid pAd5E2 and shows the restriction analysis of the indicated plasmids.

25 FIG. 6. This FIG. is phase contrast images showing the morphology differences among the parental and transfected cells. The top left panel is 293 parental cells. The top center panel is pEBV transfected cells. The following panels are individual clones of the pAd5E2 vector transfected cells.

30 FIG. 7. This FIG. is a flow diagram of the generation of the Ad5E2B vector.

35 FIG. 8. This FIG. is a flow diagram of the generation of the Ad supervector.

FIG. 9. This FIG. is a flow diagram of the generation of an E1 and E3 deletion adenoviral plasmid vector.

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FIG. 10. This FIG. is a flow diagram of the generation of a muscle-specific adenoviral plasmid vector.

5 FIG. 11A. This FIG. is a drawing of the pAd5E2 vector showing the location of the PCR priming sites used in characterizing the vector and cell lines.

10 FIG. 11B. This FIG. defines the PCR primers used in characterizing the vector and cell lines. The sequences in this FIG. are designated in order from top to bottom as SEQ ID NOS:1-4.

15 FIG. 11C. This FIG. is an agarose gel showing the results of the PCR amplification of the Ad5E2 cells and vectors.

20 FIG. 11D. This FIG. is a Southern blot analysis of the agarose gel from FIG. 11C with the  $^{32}\text{P}$  labeled pAd5E2 probe.

20

DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

The design and development of the Ad supervector system is an unexpected breakthrough in the development 25 of vectors for gene transfer. The new system will not only substantially increase the gene-delivery capacity of Ad vectors, but will also greatly improve their therapeutic potential, since the replacement of most of the viral genome eliminates the vector-borne cytotoxicity 30 and the possibility of wild-type recombination that are associated with the current Ad vector systems. Because the design of the helper cell line and supervector is focused on meeting the current and future demands for gene delivery, the system will have a wide range of 35 applications in the fields of gene therapy and gene transfer.

- 20 -

Gene therapy generally involves three principal elements: therapeutic genes, delivery systems, and target cells. One of the urgent technical challenges in gene therapy technology is how to specifically deliver and 5 controllably express the therapeutic genes in target cells *in vivo*. Since no currently available delivery system can accomplish this (Mulligan, 1993), there is a great demand for a new system with this capacity.

10 Adenovirus (Ad), is particularly suitable for use as a gene transfer vector because of its mid-sized genome, ease of manipulation, high titer, wide target-cell range, and high infectivity. Adenoviruses are double-stranded DNA viruses with a linear genome of approximately 36 kb. 15 A simplified map of the adenovirus type 5 (Ad5) genome with a few key landmarks is diagrammed in FIG. 1. Both ends of the viral genome contain 100-200 base pair (bp) inverted terminal repeats (ITR), which are *cis* elements necessary for viral DNA replication and packaging. The 20 early (E) and late (L) regions of the genome that contain different transcription units are divided by the onset of viral DNA replication. The E1 region (E1A and E1B) encodes proteins responsible for the regulation of transcription of the viral genome and a few cellular genes. The expression of the E2 region (E2A and E2B) results in the synthesis of the proteins for viral DNA 25 replication. These proteins are involved in DNA replication, late gene expression, and host cell shut off (Renan, 1990). The products of the late genes, including 30 the majority of the viral capsid proteins, are expressed only after significant processing of a single primary transcript issued by the major late promoter (MLP). The MLP (located at 16.8 m.u.) is particularly efficient during the late phase of infection, and all the mRNAs 35 issued from this promoter possess a 5' tripartite leader (TL) sequence which makes them preferred mRNAs for translation.

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It is known that a part of the adenoviral genome can be replaced by foreign DNA and the virus can still replicate in helper cells that supply the proteins encoded by the displaced viral genome in trans. The 5 currently available Ad vectors carry foreign DNA in either early region 1 (E1) or 3 (E3) or both, and are replicated primarily in the 293 cell line, a transformed human embryonic kidney cell line that constitutively expresses E1 proteins (Graham et al., 1977; Graham and 10 Prevec, 1991). However, the recombinant DNA capacities of the vectors generated in this system are limited to 7.5 kb. In addition, a helper cell line designated as W162 (derived from Vero cells), carries an intact adenovirus E4 region and is able to support the 15 replication of adenovirus with deletions in the E4 region. However, the W162 cell line will support deletions of only on the order of 1-3 kb. Moreover, both these systems are subject to vector-borne cytotoxicity and the possibility of wild-type recombination, since 20 most (more than 80%) of the wild-type viral genome remains in the vectors.

In order to improve the current Ad vector systems, an Ad supervector system was designed. This system 25 comprises novel Ad vectors and their helper cell lines that can generate greatly improved recombinant viruses with properties such as greater gene-delivery capacity, higher therapeutic potential, targeted gene delivery, and when appropriately modified, tissue-specific gene 30 expression. The Ad supervector system is designed so that up to 35 kb of the Ad viral genome between the inverted terminal repeats (ITR) can be replaced by foreign DNA. Removing large segments of the viral genome affords the added advantage of greatly improving the 35 therapeutic potential of the current Ad vector systems, since the lack of viral DNA in the vector eliminates the vector-borne cytotoxicity and the possibility of wild-

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type recombination that are associated with the current Ad vector systems.

This large displacement of DNA is possible because  
5 the *cis* elements required for viral DNA replication and  
packaging are all found by the inventors to be localized  
in the inverted terminal repeats (100-200 bp) at either  
end of the linear viral genome. For example, the origins  
of replication for Ad DNA are localized in the ITR of the  
10 viral genome, and replication is uniquely primed by the  
covalently bound terminal protein at each 5' end. This  
suggests asymmetric replication of a unit-length linear  
molecule and that linear unit-length molecules are the  
likely substrate for viral packaging. In addition, the  
15 packaging signal for viral encapsidation is localized  
between 194-385 bp (0.5-1.1 m.u.) at the left end of the  
viral genome (Hearing et al., 1987). This signal mimics  
the protein recognition site in bacteriophage  $\lambda$  DNA where  
a specific sequence close to the left end but outside the  
20 cohesive end sequence mediates the binding to proteins  
that are required for insertion of the DNA into the head  
structure. E1 substitution vectors of Ad have  
demonstrated that a 450 bp (0-1.25 u) fragment at the  
left end of the viral genome was enough to direct  
25 packaging in 293 cells (Levrero et al., 1991).

The first step in the generation of the supervector  
system is the production of new Ad helper cell lines.  
The Ad helper cell line is the stably transfected or  
30 transformed cell line that carries episomally maintained  
genomic fragments of Ad. These cell lines can express  
different sets of Ad proteins and can be used to generate  
and propagate different recombinant Ad vectors. Prior to  
the present invention, such helper cells were able to  
35 support viral vectors containing deletions in the E1, E3  
or E4 regions. However, owing to the genetic complexity  
and sheer size of the E2 region, it was believed that E2

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region functions could not be successfully complemented by a helper cell host producer of those functions. The production of the helper cells of the present invention, e.g. Ad5E2, has now made it possible to support  
5 adenoviral vectors with up to 30 kb of genomic DNA deletions.

Preferred helper cell lines are derived from human cells such as human embryonic kidney cells, muscle cells, 10 hematopoietic cells or other human embryonic mesenchymal or epithelial cells. Alternatively, the helper cells may be derived from the cells of other mammalian species that are permissive for human adenovirus. Such cells include e.g. Vero cells or other monkey embryonic mesenchymal or 15 epithelial cells.

The second step in the supervector system is the construction of adenoviral "supervectors." The vectors are generated by displacing the DNA which is expressed in 20 the helper cells with foreign DNA. Prior to the present invention, the largest possible displacement was approximately 7 kb, but the supervector system allows the displacement of up to 35 kb of DNA from the adenoviral genome.  
25

The Ad supervectors are circular or linear DNA molecules that comprise essential *cis* elements for Ad DNA replication and packaging (such as ITR and the packaging signal) and heterologous DNA. The heterologous DNA may 30 be, but is not limited to polypeptide encoding regions and their control elements for expression and regulation in mammalian cells, which may include, but are not limited to various promoters/enhancers, including tissue-specific promoters or enhancers, polyadenylation signals, 35 splicing signals, 5' or 3' translational regulatory elements, elements for stable transfection and selection, and the like. The heterologous DNA fragments may be from

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different sources, in different lengths, and arranged in different combinations depending on the application. The supervectors also comprise the necessary genetic elements to direct their packaging into recombinant Ad viruses  
5 after transfection into the Ad helper cells.

The third step in the generation of the supervector system is the production of recombinant Ad viruses (superviruses). The superviruses can be generated by  
10 transfection of the supervector into the helper cell line and propagation of the virus. These viruses are genetically and structurally engineered viruses that carry heterologous genes and regulatory elements. They may have modified surface structures that allow them to  
15 escape from host immune response and to be targeted to certain cells, for example. These viruses are replication-defective and helper-virus-dependent. The viruses produced from this system are contemplated to have little cytotoxicity and no possibility of wild-type  
20 recombination. This system will have a wide range of applications including targeted gene-delivery of the Ad vector, tissue-specific expression of the genes expressed from the Ad vector and inducible or stable expression of Ad vector genes. The advantages of this supervirus  
25 system are tabulated in Table 1.

-25-

Table 1. Comparison of currently available vectors and the super Ad vector system.

Ad vector system	Helper cell line	Viral genome helper vector	Gene-delivery capacity	Wild-type recombination	Vector-borne cytotoxicity
Current	293	11%	84%	7.5 kb possible	present
Super	Ad5E2	80%	17%	30 kb no	minimized

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The following examples are included to demonstrate preferred embodiments of the invention. It should be appreciated by those of skill in the art that the techniques disclosed in the examples which follow 5 represent techniques discovered by the inventor to function well in the practice of the invention, and thus can be considered to constitute preferred modes for its practice. However, those of skill in the art should, in light of the present disclosure, appreciate that many 10 changes can be made in the specific embodiments which are disclosed and still obtain a like or similar result without departing from the spirit and scope of the invention.

15

**Example 1**  
**Plasmid pCEP4 Vector Transfected Cells**

The vector to be used for the stable expression of the partial Ad genome must meet certain technical 20 requirements such as high genetic carrying capacity, high copy number, and long term expression. One such vector is an Epstein-Barr virus-based eukaryotic expression vector, pCEP4 (Invitrogen Corp., San Diego, CA). This vector has the Epstein-Barr viral nuclear antigen (EBNA- 25 1) and origin of replication (*oriP*) and exhibits high-copy episomal replication and produces high levels of recombinant proteins in a wide range of mammalian cells (Yates, et al., 1985; Su, et al., 1991). This vector also comprises the hygromycin resistance gene for clonal selection and stable maintenance of the vector in cells. 30

A 14.6-kb DNA fragment (59.5-100 mu with E3 deleted) that covers the intact E4 and L5 regions of the Ad5 genome was isolated from the plasmid pFGdx1 (Haj-Ahmad and Graham, 1986), which was kindly provided by Dr. Frank L. Graham at McMaster University, Hamilton, Ontario,

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Canada. This fragment was inserted at the BamHI cloning site of pCEP4, producing the pAdh1.1 and pAdh1.2 vectors which differ by opposite orientations of the respective fragments to the CMV promoter in pCEP4 (FIG. 2). The expression vectors were transfected into Vero cells by liposome-mediated transfection with DOTAP (Boehringer-Mannheim Corp., Indianapolis, IN) (Zhang, et al., 1993). Vero is an African green monkey kidney cell line. Vero cells were the preferred cell line for the expression of the pAdh vectors because this cell line was previously used to create the Ad E4 complementing cell line (W162) by stable transfection with the Ad5 84-100 mu fragment (Weinberg and Ketner, 1983). Transfection of the cells with the original pCEP4 vector was used as a control.

After transfection and growth in the presence of hygromycin B, the antibiotic-resistant clones were selected.

**Example 2**  
**Complementation of the E4 Gene**

The pAdhl vectors described in Example I contain the entire E4 and L5 regions from an Ad5 genomic fragment and are expected to complement Ad mutants with deletions or mutations in those regions of the genome. Functional assays were designed to examine whether the cells could support the propagation of the Ad5 E4 deletion mutant, H5dl1011 (Bridge, et al., 1993), kindly provided by Dr. Gary Ketner at Johns Hopkins University, Baltimore, MD.

The selected clones were treated with the H5dl1011 virus at a multiplicity of infection (moi) of 5. The cytopathic effect of Ad was used as an indicator for the propagation of the virus in the clones. It was shown that the clones of the Vero cells that contained the pAdhl.1 vector (referred to as V1.1 cells) were capable of supporting the propagation of H5dl1011. The clones of

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the Vero cells that contained the pAdh1.2 vector (referred to as V1.2 cells) could not support the propagation of H5dl1011, nor could the Vero cells that contained pCEP4 vector alone (referred to as Vc cells).

5 FIG. 3 shows the morphology of the pAdh-clones of the Vero cells and that of the cells infected with H5dl1011. FIG. 4 demonstrates the detection of the viral DNA replication and viral capsid protein accumulation in V1.1 cells after infection with the H5dl1011 virus.

10

The lack of complementation of H5dl1011 by the pAdh1.2 vector is contemplated to be due to the location of the CMV promoter upstream of the E4 promoter. The lack of complementation may be caused by promoter 15 interference between the CMV and the E4 promoters, or by an inactivation of the E4 promoter through some inhibition of the CMV promoter. The pAdh1.1 vector, with no additional promoter inserted upstream of the E4 promoter, complemented H5dl1011 much like the E4 20 complementing cell line W162 (Weinberg and Ketner, 1983), which also does not have a promoter upstream of the E4 promoter. Even though heterologous promoters are indicated in certain embodiments, such as when the Ad 25 promoters are not strong enough to maintain a high-level expression of the Ad genes or when inducible expression of the genes are required, these results suggest that the interaction of the two promoters must be considered.

### Example 3

#### 30 Construction of the Ad5E2 Expression Vector

The Ad5E2 expression vector was designed so that the E2 promoter activation would be dependent on the E1 proteins provided by the host cells and the E4 proteins 35 encoded by the supervector. Therefore, the vector contains no additional promoter for the E2 fragment.

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The first step in the construction of the Ad5E2 vector was the preparation of the Ad5 E2 fragment (9.2-79.4 mu). The 9.2-76.0 mu fragment isolated from Ad5CMV-p53 DNA was first subcloned into the pBluescript (Stratagene Corp., San Diego, CA) derived plasmid designated pWZ-5 (FIG. 5). The 76.0-79.4 mu fragment was isolated from the plasmid pFGdx1 (Haj-Ahmad and Graham, 1986) and inserted into the pWZ-5 plasmid that already contained the 9.2-76.0 mu fragment. The resulting construction was designated pWZ-6 by the inventors and comprised the 9.2-79.4 mu E2 fragment in a pBluescript backbone (FIG. 5).

The second step was the preparation of the modified pCEP4 vector, pEBV. The pCEP4 vector (Invitrogen Corp.), contains the genetic "cassette" which has the CMV promoter sequence and the SV40 polyadenylation signal in a form convenient for the expression of inserted genes. For the construction of the Ad5E2 expression vector, the CMV promoter and the SV40 polyadenylation signal were deleted from pCEP4, resulting in the vector designated pEBV (FIG. 5). The pEBV vector retained the hygromycin-resistant gene for clonal selection and the Epstein-Barr viral origin of replication (OriP) and nuclear antigen (EBNA-1) for high-copy episomal replication.

The final step in the construction of the Ad5E2 expression vector was the isolation of the E2 fragment from pWZ-6 and insertion of that fragment into the pEBV vector (FIG. 5). The resultant vector, pAd5E2, contains intact transcriptional units for the E2A, E2B, and L1-L4 regions of the Ad5 genome. The length of the E2 fragment is defined by the map units 9.2 and 79.4, which are the break points for foreign DNA insertions into the currently used E1 or E3 substitution Ad vectors (Graham and Prevec, 1992). This indicates that the E2 fragment

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between these two points may be a partial Ad genome and may function as an independent genomic unit.

The agarose gel in FIG. 5 shows the results of the  
5 digestion of the various plasmids with the indicated  
restriction enzymes. The pAd5E2 expression vector DNA  
was prepared in a large scale culture and purified by  
CsCl-gradient, and was used for transfection in the  
production of the Ad helper cell line.

10

**Example 4**  
**Production of the Ad5E2 Cell Line**

15 The pAd5E2 expression vector was transfected into  
293 cells by liposome (DOTAP)-mediated transfection  
according to the manufacturer's protocol (Boehringer  
Mannheim, Indianapolis, IN) and as described previously  
(Zhang, et al., 1993). To improve the transfection  
20 efficiency, 293 cells were cultured to the exponential  
growth phase. The cells were harvested and inoculated  
into multiple 24-well plates with  $10^5$  cells/well which  
gave about 80% confluency the next day. Transfection  
mixtures were prepared in the ratio of 15  $\mu$ g of pAd5E2  
25 DNA or 5  $\mu$ g of pEBV DNA (control) to 30  $\mu$ l of DOTAP per 6  
ml Eagle's MEM supplemented with 10% horse serum. Each  
plate required 24 ml of the mixture (1 ml/well). 293  
cells treated with DOTAP with no vectors were used as a  
control for hygromycin B selection.

30

Twenty-four hours after transfection, the cells were  
selected with 100  $\mu$ g/ml of hygromycin B in Eagle's MEM  
supplemented with 10% horse serum. The concentration of  
hygromycin B was gradually increased to 200  $\mu$ g/ml over 2  
35 weeks. The antibiotic-resistant cell clones were  
transferred to 60-mm, followed by 100-mm culture dishes.

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After this amplification, aliquots of the cells from each clone were frozen in 90% horse serum with 10% DMSO and stored in liquid nitrogen. The rest of the cells were maintained in the medium with 200 µg/ml hygromycin B for 5 further study. FIG. 6 is magnified images of the Ad5E2 cell clones, the parental 293 cells and "vector only" control cells.

**Example 5**

**Functional Analysis of Ad5E2 Cells**

This example describes studies that demonstrate the efficiency of the Ad5E2 cells in complementing E2 deletions or substitutions. For the purposes of the 15 studies, an E2B substitution mutant was constructed and designated Ad5 E2B. This E2 mutant was derived from the pJM17 plasmid (McGrory, et al., 1988), a circularized form of the Ad5 genome with an insertion of the pBR322 plasmid at 3.7 mu. This circularized Ad genome was 20 created by the connection of its ITR regions (0/100 mu fusion) and has been demonstrated to be functional (Graham, 1984). A 7-kb Ad5 genomic fragment between the SalI sites at 25.9 and 45.9 mu was deleted from pJM17 by digestion with SalI, ligation of the isolated large 25 fragment, and subcloning (FIG. 7). This deletion included the E2B, L1, and L2 genes from the Ad5 genomic sequence. A 7.1-kb plasmid, pAd $\beta$  (CLONTECH), which contains the *E. coli*  $\beta$ -galactosidase gene under the control of the Ad2 major late promoter (MLP) was added 30 into the deletion site. The  $\beta$ -galactosidase gene was then used as a reporter gene to monitor the transfection efficiency of the Ad5 E2B substitution vector into Ad5E2 cells.

35 The plasmid pWZ-3 was previously constructed by digesting pJM17 with EcoRI, isolating the larger

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fragment, and inserting the 3.7-76 mu fragment of the Ad5 genome (FIG. 7). The plasmid pWZ3dlE2B is then generated from pWZ-3 by deleting the fragment between the SalI sites at 25.9 and 45.9 mu. The pAd $\beta$  plasmid is then 5 fused with pWZ3dlE2B at their respective SalI sites, which produces the 28.2 kb plasmid designated pWZ3dlE2B $\beta$ . Finally, the ITR fusion fragment (76-100/0-3.7 mu) is isolated from pJM17 and replaces the pBR sequence from pWZ3dlE2B $\beta$ , producing pAd5dlE2B $\beta$ .

10

The pAd5dlE2B $\beta$  vector is then transfected into Ad5E2 cells by liposome (DOTAP)-mediated transfection. The transfected cells will be maintained in the hygromycin B selection medium for observation of cytopathic effect, 15 which is a sign of cytotoxicity typically manifested by the Ad-infected cells. The cytopathic effect manifests as a broken cell monolayer and cell clumps formed by the sick cells which are easily seen in a light microscope. The presence of the cytopathic effect in the transfected 20 cells indicates that complementation has been achieved.

To determine whether the pAd5E2 vector is retained in the cells, low molecular weight DNA was isolated from the cells and analyzed by PCR (See FIG. 11A, FIG. 11B, 25 FIG. 11C and FIG. 11D). The DNA samples were analyzed with two pairs of primers that are specific for the hygromycin B gene and the Ad5 genome, respectively. The gels in FIG. 11C and FIG. 11D confirm the retention of the vector in the cells. Lanes 1-6 are Hyg-PCR products 30 of Ad5E2:1-4, pAd5E2 positive control and a no DNA negative control. Lanes 7-12 are Ad-=PCR products in the same order. FIG. 11D is the southern blot of the gel using a  $^{32}$ P-labeled pAd5E2 probe.

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**Example 6**  
**Design of a Super Reporter Vector**

The supervector design utilizes the fusion of the Ad  
5 left and right end inverted terminal repeat (ITR) regions  
to create a circular plasmid vector which comprises the  
reporter genes. Since all the E1, E2, and L1-L4 gene  
products are provided in *trans* by the Ad helper cells,  
the left arm of the Ad genome in the supervector will be  
10 trimmed to be as short as 450 bp (0-1.25 mu). This has  
been demonstrated to be sufficient as a *cis* element to  
support E1 substitution mutants (Levrero, et al., 1991).  
The right arm of the Ad genome in the supervector will  
contain the region from 84.5 mu to 100 mu. This  
15 reduction in size will delete the E3 genes, which are  
known to be unnecessary for replication (Jones and Shenk,  
1978). This design will eliminate the possibility of  
wild-type recombination, since there will be no overlap  
between the right arm in the supervector and the E2  
20 fragment (9.2-79.4 mu) in the Ad5E2 cells.

The generation of the reporter supervector is  
diagrammed in FIG. 8. The left (0-1.25 mu) and right  
(84.5-100 mu) arms of the Ad5 genome will be isolated  
25 from plasmid pXCJL.1 (Frank L. Graham) and pFGdX1,  
respectively (FIG. 8). These two fragments will be  
connected through the ITR and subcloned into a  
pBluescript plasmid to create the plasmid designated as  
pWZ-7. The fused Ad arms (85.4-100/0-1.25 mu fragment)  
30 will be further subcloned into the pEBV vector. To  
ensure the correct expression of the L5 region that is  
located at 86-91.3 mu, the cassette containing the Ad2  
major late promoter with the tripartite leader (MLP/TL)  
(Levrero, et al., 1991), kindly provided by Michel  
35 Perricaudet (Institut Gustave Roussy, Villejuif, France),

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will be inserted at 84.5 mu of the right arm to produce a basic supervector designated as pAdSV-1 (15kb).

To test the capability of the supervector to carry  
5 foreign DNA, reporter genes such as LacZ, CAT, and  
luciferase will be inserted at the cloning sites of  
pAdSV-1, which will produce pAdSRP-1 (about 25 kb), a  
supervector that carries multiple reporter genes. The  
reporter supervector DNA will be prepared in large scale  
10 culture and purified by CsCl-gradient to be used for high  
efficiency transfection.

A potential problem in production of the supervector  
is the packaging of a vector of this size in helper  
15 cells. Systematic studies of packaging-size constraints  
have not been reported, mainly because there is no  
appropriate complementing cell line. However, Ad DNA  
from 50 to 105% of the genome length can be stably  
packaged (Jones and Shenk, 1978; Larsen, 1982). In  
20 addition, defective virions are often made that have only  
the left end of the viral genome. These truncated viral  
DNA can be as short as 5% of genome length, but they  
appear to be able to deliver their DNA into susceptible  
cells (Hammarskjold, et al., 1977). Therefore, there may  
25 not be a lower limit on the size of the shortened DNA  
that can be successfully packaged and expressed.

The initial design for the insertion of reporter  
genes is to put each reporter gene in its own expression  
30 cassette. This arrangement will allow each reporter gene  
to be expressed independently. If the simple array of  
multiple gene expression cassettes does not work  
efficiently, an internal ribosome entry site from  
picornavirus (Morgan, et al., 1992) may be used to link  
35 the multiple genes. Another possible arrangement is a

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multiple gene array resembling the polycistron controlled by the MLP.

**Example 7**

5

**Generation of a Supervirus**

10 Generation of the supervirus of this system depends on the efficient transfection of the Ad helper cells by the supervector DNA. Highly efficient plasmid DNA transfection into the helper cells has been achieved in the inventor's laboratory by calcium-phosphate precipitation (Dai, 1992) and liposome-mediated transfection (Zhang, et al., 1993). The following example describes a procedure for the generation and 15 identification of a supervirus.

20 The Ad5E2 cells will be cultured to exponential phase and inoculated into 24-well plates at  $10^5$  cell/ml, which will result in 80% confluence per well the next day. The transfection mixture is prepared in a ratio of 15  $\mu$ g of the supervector (pAdSRP-1), 30  $\mu$ l of DOTAP, and 6 ml of medium. This mixture will be used to transfect the Ad5E2 cells with 1 ml of transfection mixture per well for 24 hr. After transfection the cells will be 25 maintained in Eagle's MEM supplemented with 10% horse serum. In 12-15 days after transfection, the cytopathic effect may be observed in the cell monolayers, which indicates the generation of new virus in the transfectants.

30

PCR analysis (US Patent No. 4,683,202, incorporated herein by reference) of DNA samples prepared from the supernatants of cell cultures with the cytopathic effect will be used to identify the newly generated Ad 35 superviruses (Zhang, et al., 1993). The genomic structure of the superviruses will also be analyzed by

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PCR. The superviruses will be plaque-purified and amplified in the Ad5E2 cell line. The supervirus DNA will be obtained from the cells and purified by CsCl-gradient banding. The purified viral DNA can be analyzed  
5 by restriction mapping to confirm the viral genomic structure. The propagation of the supervirus in the Ad5E2 cells will be studied by [<sup>32</sup>P]-labeling to detect viral DNA replication and by [<sup>35</sup>S]-methionine incorporation to measure viral capsid protein  
10 accumulation in the cells. The efficiency of the supervirus propagation in the cells will be determined by viral titering in plaque assays.

**Example 8**

**15 Tissue-Specific Expression Ad Vector**

This example illustrates the construction of a plasmid form of the Ad5 genome containing E1 and E3 deletions. The vector is constructed from two Ad-genome-derived plasmids, pFGdx1 (Haj-Ahmad and Graham, 1986) and pXCLJL2 (kindly provided by Dr. Frank L. Graham), and the recombinant p53 adenovirus (Zhang, et al., 1993). The pXCLJL2 plasmid contains the 0-15.8 mu fragment of the Ad5 genome. It has a polylinker located at 1.25 mu. A large portion of this plasmid is deleted by restriction enzyme digestion of the plasmid with XbaI and PvuII followed by religation to create plasmid pWZ101 (FIG. 9). The 9.2-  
25 59.5 mu fragment of the Ad5 genome is removed from the p53 adenovirus and inserted at the ClaI and BamHI sites of the polylinker of pWZ101 to generate plasmid pWZ102.  
30

In a second branch of this synthesis, the pFGdx1 plasmid will be modified at several restriction sites to generate plasmid pWZ105 (FIG. 9). This plasmid contains  
35 the modified 59.5-100 mu fragment of the Ad5 genome, which is flanked by the EcoRI and BamHI restriction

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enzyme sites. Then the EcoRI-BamHI fragments of pWZ105 and pWZ102 are removed from their respective plasmids and joined to create plasmid pWZ106. The EcoRI site in pWZ106 will be replaced by an XbaI site, resulting in the 5 plasmid pWZ107.

This will be a basic Ad vector that can be further modified to construct a tissue-specific vector, by the insertion of tissue-specific enhancer/promoter regulatory 10 elements at the ClaI site. The plasmid represents a great improvement over the current Ad vectors in that pWZ107 has the pBR322 origin of replication and the ampicillin resistance gene located between the 0 and 100 mu positions. This region can be easily excised by XbaI 15 digestion before transfection of the vector into an Ad E1 helper cell such as 293.

The tissue-specific expression of a given reporter 20 gene is dependent upon tissue-specific regulatory elements. The plasmids created in the practice of the present invention allow one to utilize an expression cassette to express the  $\beta$ -gal protein in muscle cells. As shown in FIG. 10, the mouse muscle creatine kinase 25 (MCK) enhancer (-1256 to -1050) (Dai, et al, 1992) will be connected to the human cytomegalovirus (CMV) immediate-early gene promoter (Boshart, et al, 1985) to form a chimeric regulatory element. This element will be placed upstream of the lacZ gene which is followed by an SV40 polyadenylation signal, thus forming a tissue-specific 30 expression cassette. The cassette will be incorporated into the plasmid pAdMSLacZ by the ClaI sites at either end. The expression cassette can be readily isolated by digestion with ClaI and inserted at the ClaI site in the pWZ107 vector. This will generate the muscle specific Ad 35 vector (pAdMSLacZ) for the muscle specific expression of the  $\beta$ -gal protein. The plasmid is then prepared in large

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scale culture and purified by CsCl-gradient for transfection.

5

\* \* \*

All of the compositions and methods disclosed and claimed herein can be made and executed without undue experimentation in light of the present disclosure.  
10 While the compositions and methods of this invention have been described in terms of preferred embodiments, it will be apparent to those of skill in the art that variations may be applied to the composition, methods and in the  
15 steps or in the sequence of steps of the method described herein without departing from the concept, spirit and scope of the invention. More specifically, it will be apparent that certain agents which are both chemically and physiologically related may be substituted for the  
20 agents described herein while the same or similar results would be achieved. All such similar substitutes and modifications apparent to those skilled in the art are deemed to be within the spirit, scope and concept of the invention as defined by the appended claims.

25

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The following references, to the extent that they  
30 provide exemplary procedural or other details supplementary to those set forth herein, are specifically incorporated herein by reference.

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SEQUENCE LISTING

(1) GENERAL INFORMATION:

(i) APPLICANT:

5 NAME: BOARD OF REGENTS,  
THE UNIVERSITY OF TEXAS SYSTEM  
STREET: 201 West 7th Street  
CITY: Austin  
STATE: Texas  
10 COUNTRY: United States of America  
POSTAL CODE: 78701  
TELEPHONE NO: (512) 499-4462  
TELEFAX: (512) 499-4523

15 (ii) INVENTORS: ZHANG, Wei-Wei  
ROTH, Jack A.

(iii) TITLE OF INVENTION: AN ADENOVIRUS  
SUPERVECTOR SYSTEM

20 (iv) NUMBER OF SEQUENCES: 4

(v) CORRESPONDENCE ADDRESS:

25 (A) ADDRESSEE: Arnold, White & Durkee  
(B) STREET: P.O. Box 4433  
(C) CITY: Houston  
(D) STATE: Texas  
(E) COUNTRY: USA  
(F) ZIP: 77210-4433

30 (vi) COMPUTER READABLE FORM:  
(A) MEDIUM TYPE: Floppy disk  
(B) COMPUTER: IBM PC compatible  
(C) OPERATING SYSTEM: PC-DOS/MS-DOS, ASCII

35 (D) SOFTWARE: Word Perfect 5.1

- 50 -

(vii) CURRENT APPLICATION DATA:

- (A) APPLICATION NUMBER: Unknown
- (B) FILING DATE: Concurrently Herewith
- (C) CLASSIFICATION: Unknown

5

(viii) PRIOR APPLICATION DATA:

- (A) APPLICATION NUMBER:
- (B) FILING DATE:

10

(ix) ATTORNEY/AGENT INFORMATION:

- (A) NAME: HIGHLANDER, STEVE
- (B) REGISTRATION NUMBER: 37,642
- (C) REFERENCE/DOCKET NUMBER: UTFC382P--

15

(x) TELECOMMUNICATION INFORMATION:

- (A) TELEPHONE: 512/418-3000
- (B) TELEFAX: 713/787-1400
- (C) TELEX: N/A

20

(2) INFORMATION FOR SEQ ID NO:1:

(i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 20 base pairs
- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

(ii) MOLECULE TYPE: DNA (genomic)

30

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:1:

GTGTACGCC GACAGTCCCG

20

35

(2) INFORMATION FOR SEQ ID NO:2:

- 51 -

(i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 20 base pairs
- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: single
- 5 (D) TOPOLOGY: linear

(ii) MOLECULE TYPE: DNA (genomic)

10 (xi) SEQUENCE DESCRIPTION: SEQ ID NO:2:

CCGATCTTAG CCAGACGAGC

20

(2) INFORMATION FOR SEQ ID NO:3:

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(i) SEQUENCE CHARACTERISTICS:

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- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: single
- 20 (D) TOPOLOGY: linear

(ii) MOLECULE TYPE: DNA (genomic)

25 (xi) SEQUENCE DESCRIPTION: SEQ ID NO:3:

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20

(2) INFORMATION FOR SEQ ID NO:4:

30

(i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 20 base pairs
- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: single
- 35 (D) TOPOLOGY: linear

- 52 -

(ii) MOLECULE TYPE: DNA (genomic)

5 (xi) SEQUENCE DESCRIPTION: SEQ ID NO:4:

CATCTGAACT CAAAGCGTGG

20

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CLAIMS

1. An adenovirus vector construct wherein all or part  
5 of the E2 region has been deleted from the adenovirus genome and heterologous DNA is inserted in its place; and wherein said adenovirus vector construct replicates in a helper cell.
- 10 2. The adenovirus vector construct of claim 1 wherein said vector comprises more than 7.5 kb of heterologous DNA.
- 15 3. The adenovirus vector construct of claim 2 wherein said vector comprises at least 10 kb of heterologous DNA.
- 20 4. The adenovirus vector construct of claim 3 wherein said vector comprises at least 20 kb of heterologous DNA.
- 25 5. The adenovirus vector construct of claim 4 wherein said vector comprises about 30 kb of heterologous DNA.
- 30 6. The adenovirus vector construct of claim 1 wherein said heterologous DNA comprises one or more structural genes.
- 35 7. The adenovirus vector construct of claim 6 wherein said one or more structural genes are under the control of a promoter.

- 54 -

8. The adenovirus vector construct of claim 7 wherein said one or more structural genes are expressed in a eukaryotic cell.

5

9. The adenovirus vector construct of claim 7, wherein said promoter is an inducible promoter.

10 10. The adenovirus vector construct of claim 7 wherein said promoter is a tissue specific promoter.

15 11. An adenovirus vector construct comprising, at least about 200 base pairs of the left ITR region of the adenovirus genome, more than 7.5 kb of heterologous DNA and at least about 200 base pairs of the right ITR region of the adenovirus genome.

20

12. The adenovirus vector construct of claim 11 further comprising at least 10 kb of heterologous DNA.

25 13. The adenovirus vector construct of claim 12 further comprising at least about 20 kb of heterologous DNA.

— 30 14. The adenovirus vector construct of claim 13 further comprising about 30 kb of heterologous DNA.

35 15. An adenovirus vector construct consisting essentially of map units 0-1.25 of the adenovirus 5 genome, at least 7.5 kb of heterologous DNA and map units 84.5-100 of the adenovirus 5' genome.

- 55 -

16. A virion particle containing the packaged adenovirus vector construct of claim 1, 11 or 15.

5 17. A recombinant helper cell, wherein said cell expresses one or more genes from the E2 region of the adenovirus genome and is capable of supporting replication of the adenovirus vector construct of claim 1, 11 or 15.

10

18. The cell of claim 17, wherein said cell comprises the adenovirus construct of claim 1, 11 or 15.

15

19. The cell of claim 17, wherein said cell comprises the virion particle of claim 16.

20 20. The cell of claim 17 wherein said cell is derived from a primate cell.

21. The cell of claim 20 wherein said cell is derived  
25 from a human cell.

22. The cell of claim 21 wherein said cell is derived from a human embryonic kidney cell.

- 30

23. The cell of claim 22 wherein said cell is derived from a 293 cell.

35

- 56 -

24. The cell of claim 23 wherein said cell is an Ad5E2 cell.

5 25. A method of expressing a foreign gene in a mammalian cell comprising the following steps:

10 obtaining an adenoviral vector construct wherein all or part of the E2 region has been deleted from the adenovirus genome;

replicating said adenoviral vector construct in a helper cell;

15 obtaining virion particles produced by said helper cells; and

infecting mammalian cells with said virion particles.

20

26. The method of claim 25 wherein said adenoviral vector construct is the adenoviral vector construct of claim 1, 11 or 15.

25

27. The method of claim 25 wherein said helper cell is an Ad5E2 cell.

30

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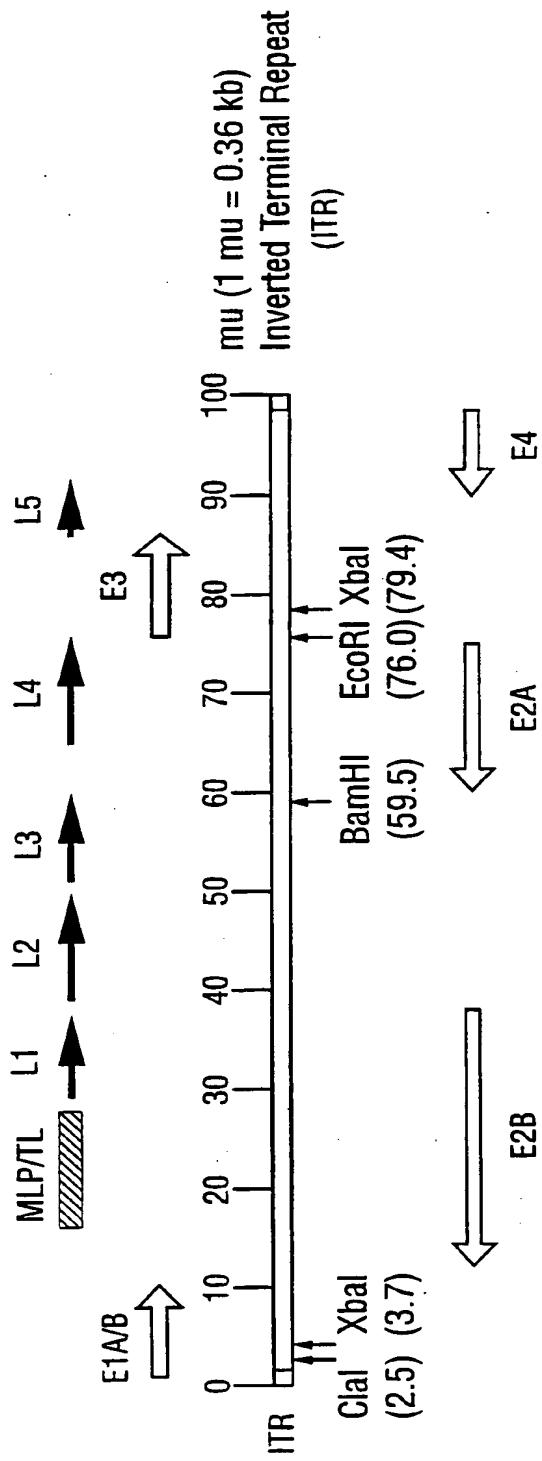


FIG. 1

SUBSTITUTE SHEET (RULE 26)

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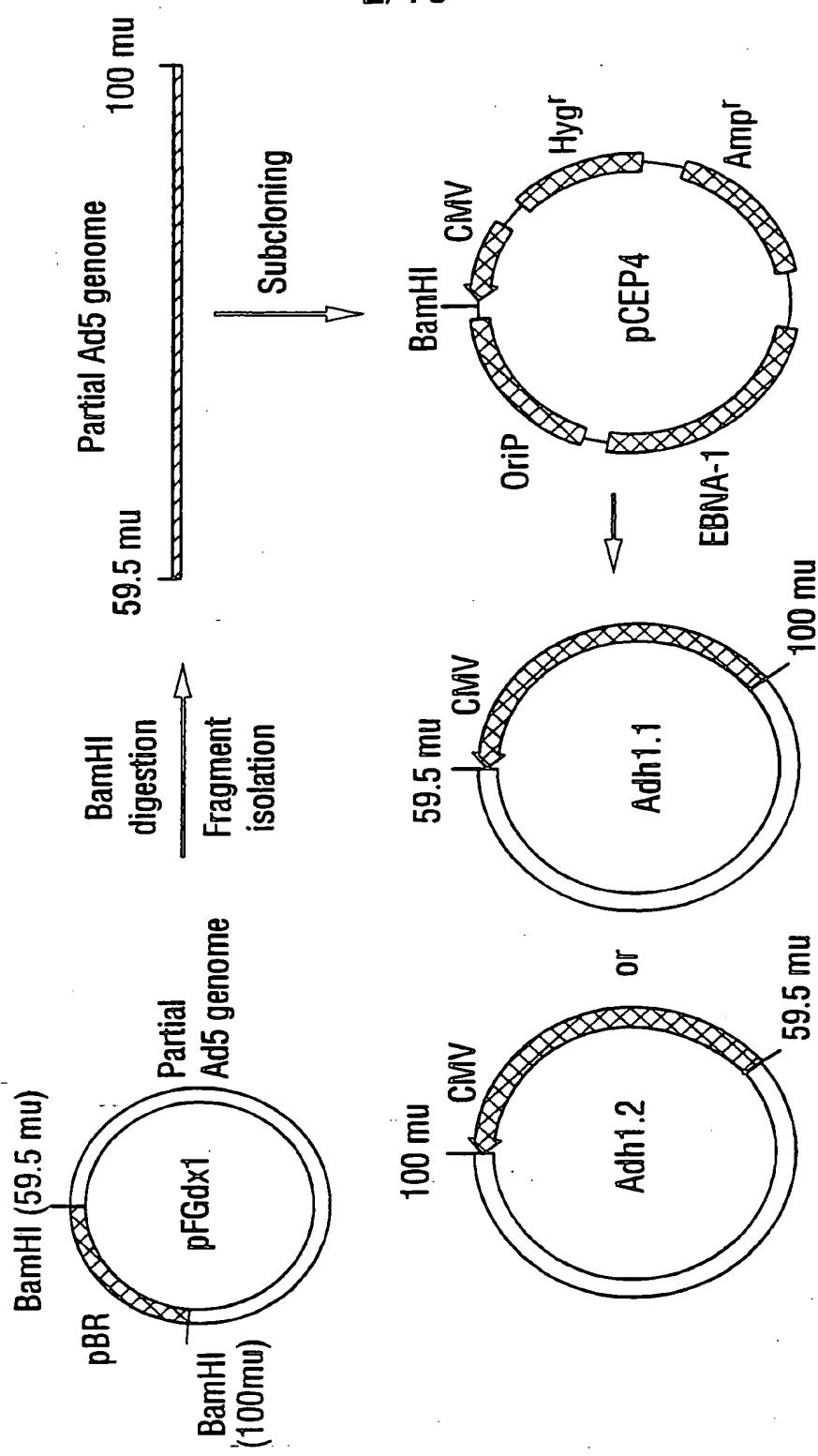


FIG. 2

SUBSTITUTE SHEET (RULE 26)

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FIG. 3A

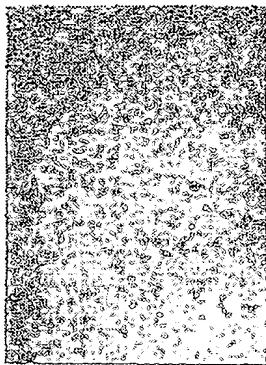


FIG. 3B



FIG. 3C

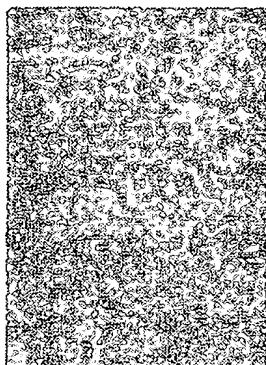
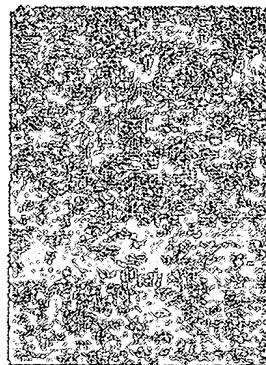


FIG. 3D

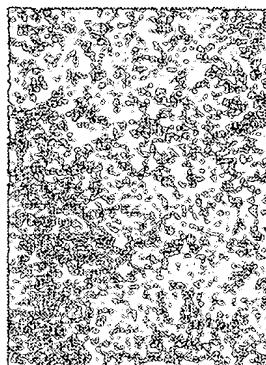
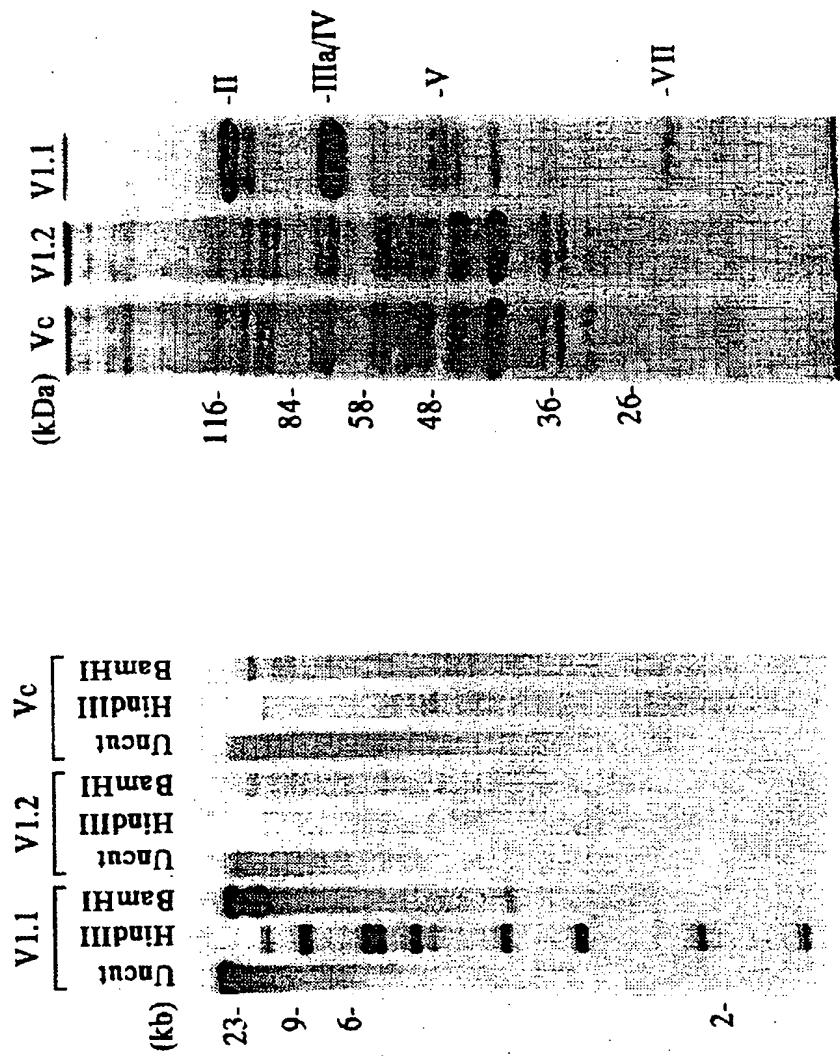


FIG. 3E



FIG. 3F



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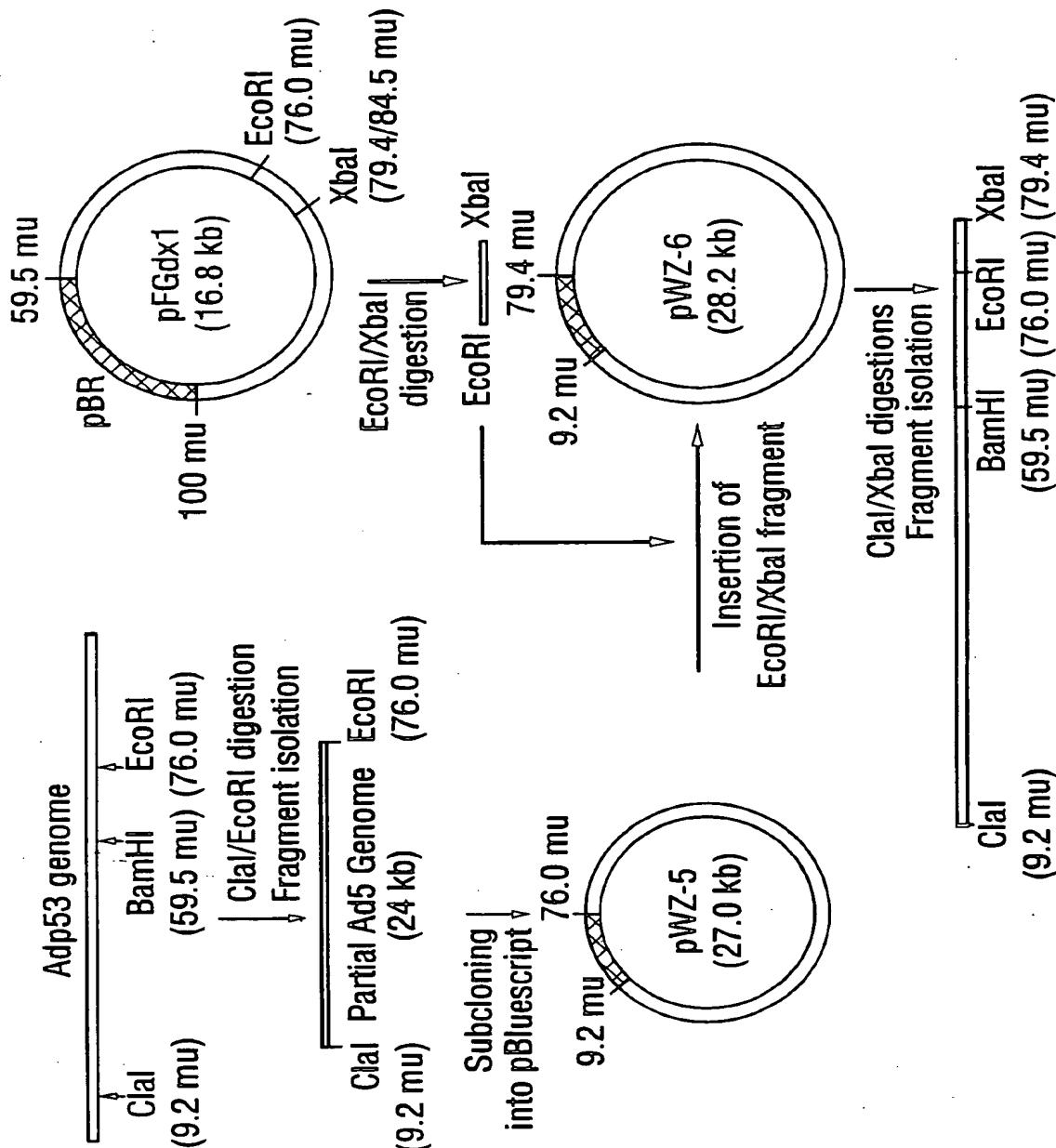
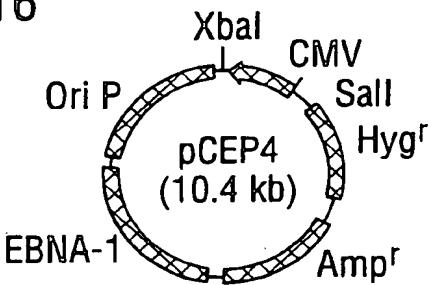
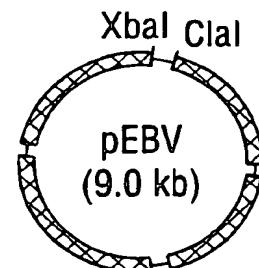


FIG. 5Ai

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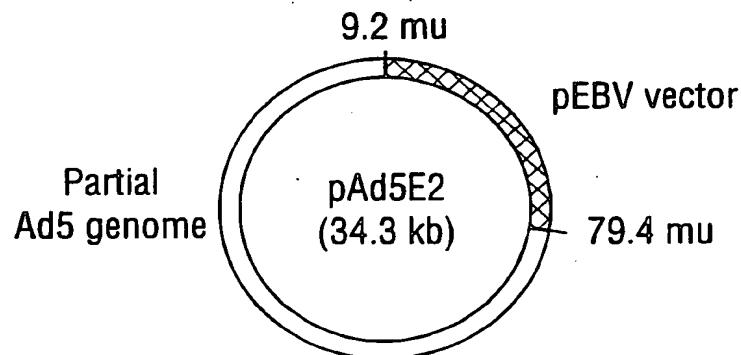


XbaI/Sall  
digestions | Insertion of  
Deletion of Clal linker  
pCMV ↓



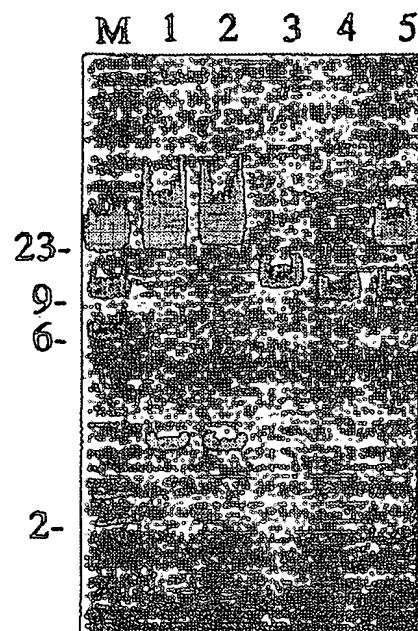
Ligation  
Subcloning

XbaI Clal



**FIG. 5Aii**  
SUBSTITUTE SHEET (RULE 26)

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Agarose gel legend:  
lanes plasmids enzymes

- |   |        |            |
|---|--------|------------|
| 1 | pWZ-5  | ClaI/EcoRI |
| 2 | pWZ-6  | ClaI/XbaI  |
| 3 | pCEP4  | XbaI       |
| 4 | pEBV   | XbaI       |
| 5 | pAd5E2 | ClaI/XbaI  |

FIG. 5B

FIG. 6A

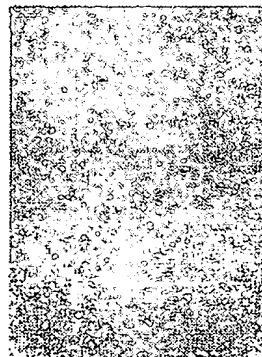


FIG. 6B



FIG. 6C

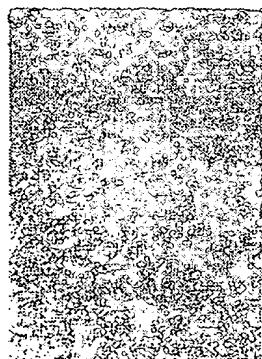


FIG. 6D



FIG. 6E



FIG. 6F

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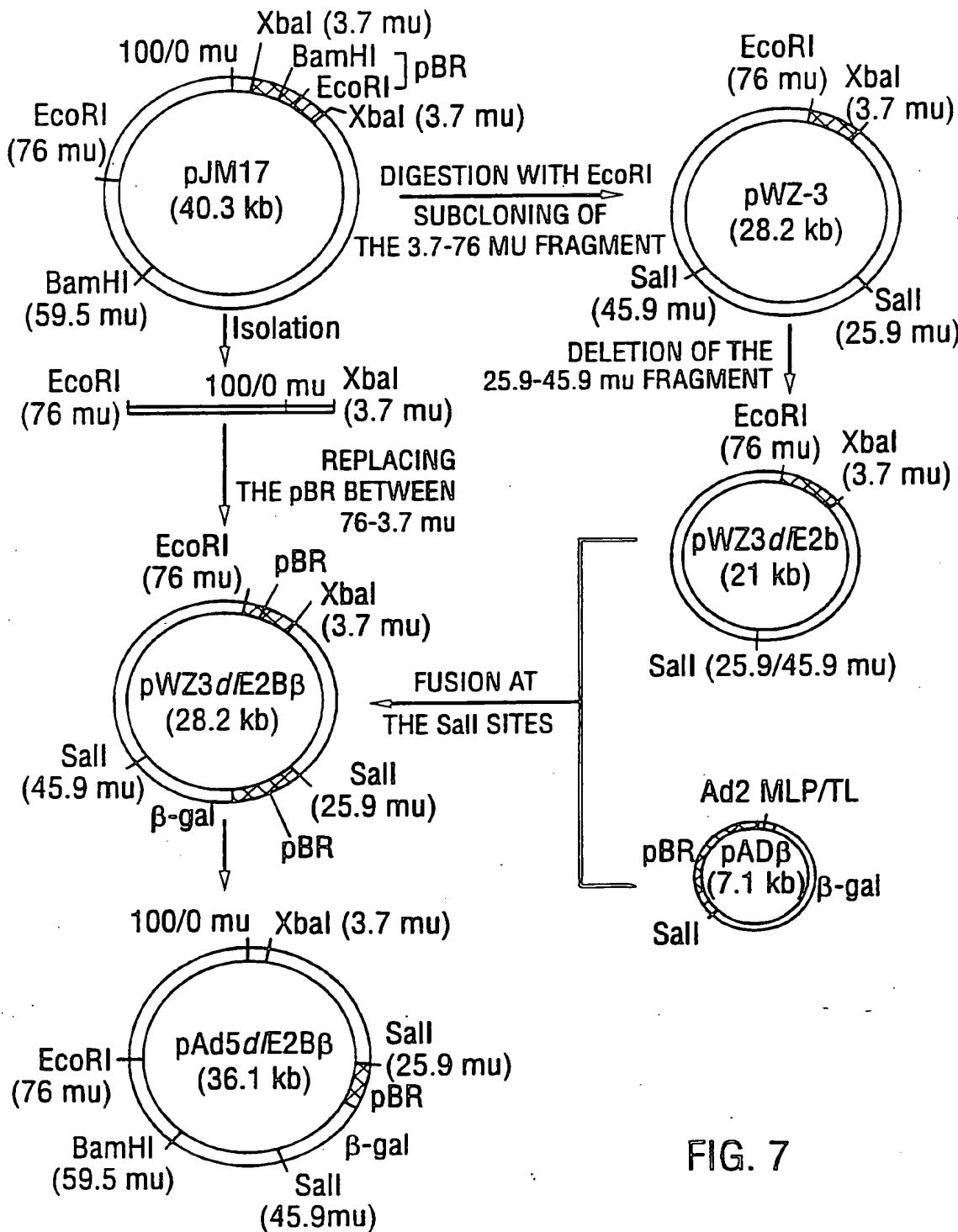


FIG. 7

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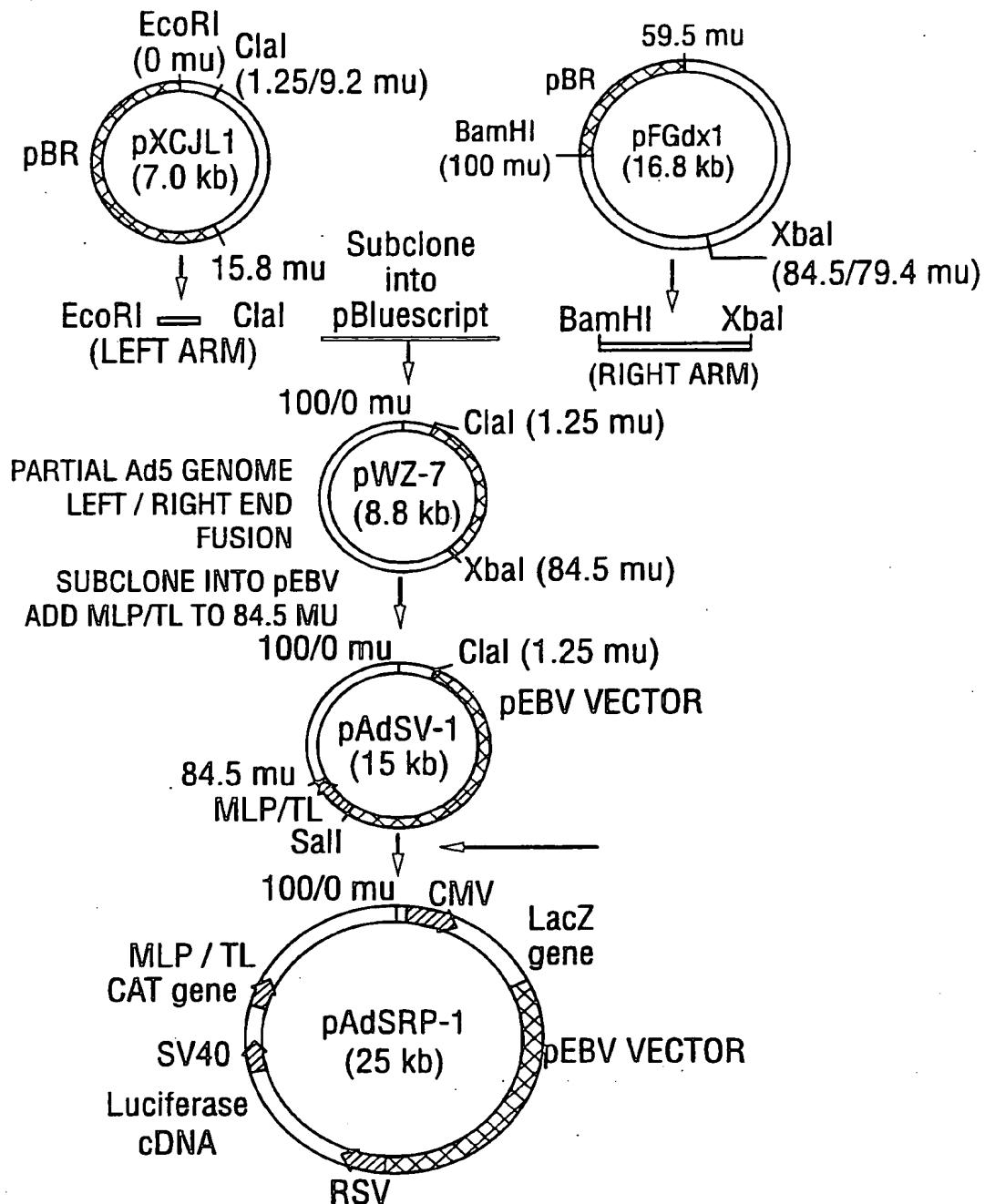


FIG. 8i  
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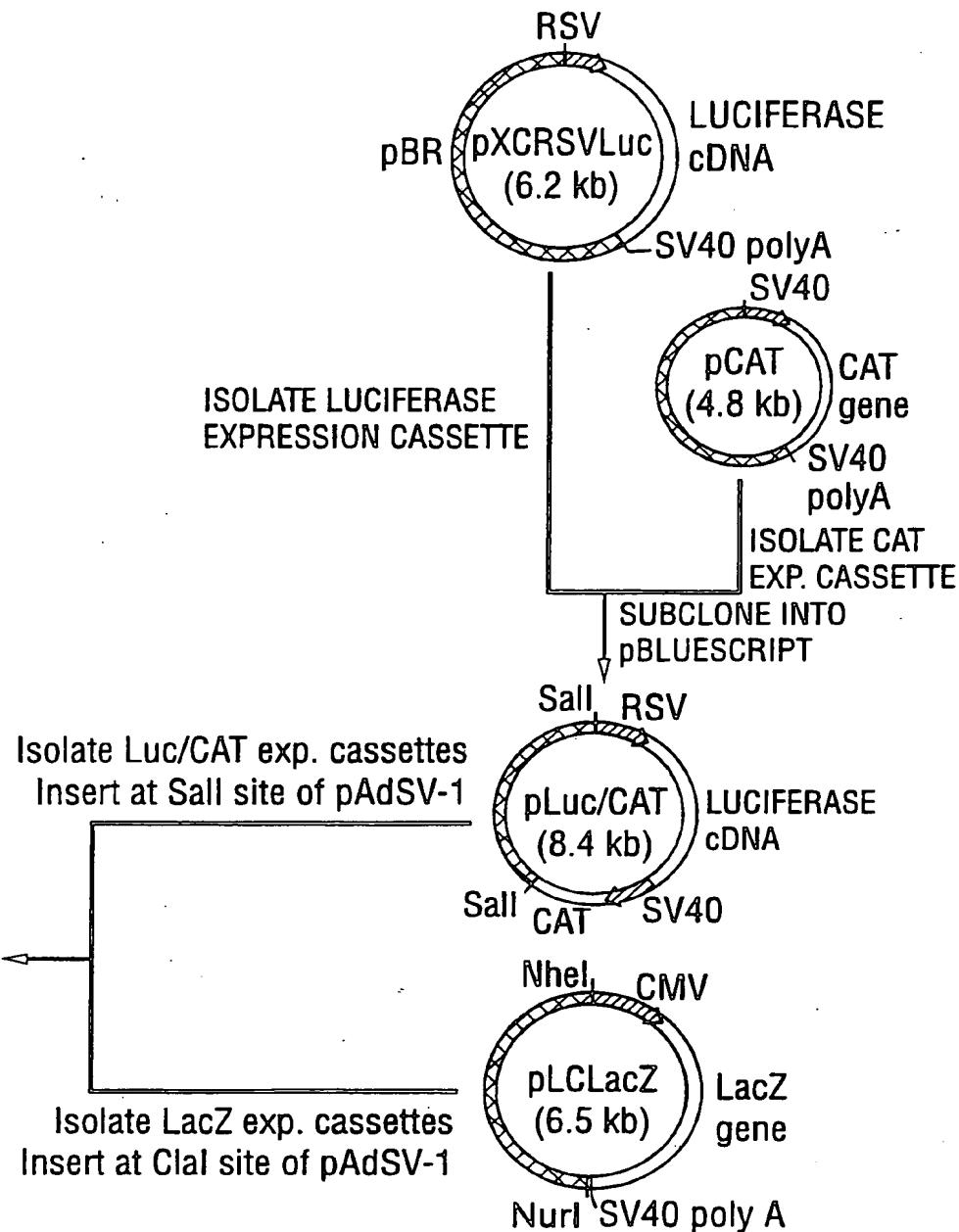


FIG. 8ii

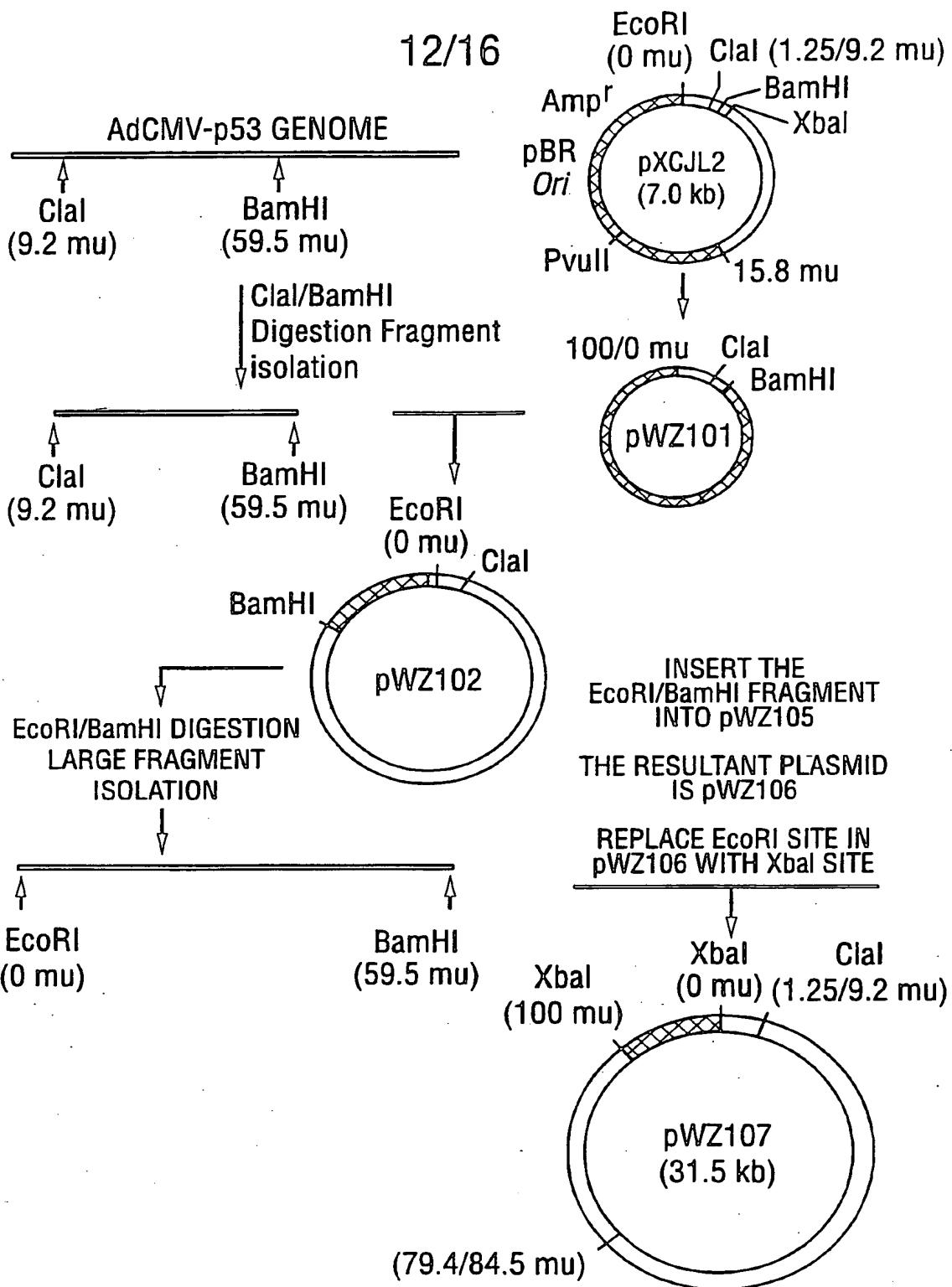


FIG. 9i

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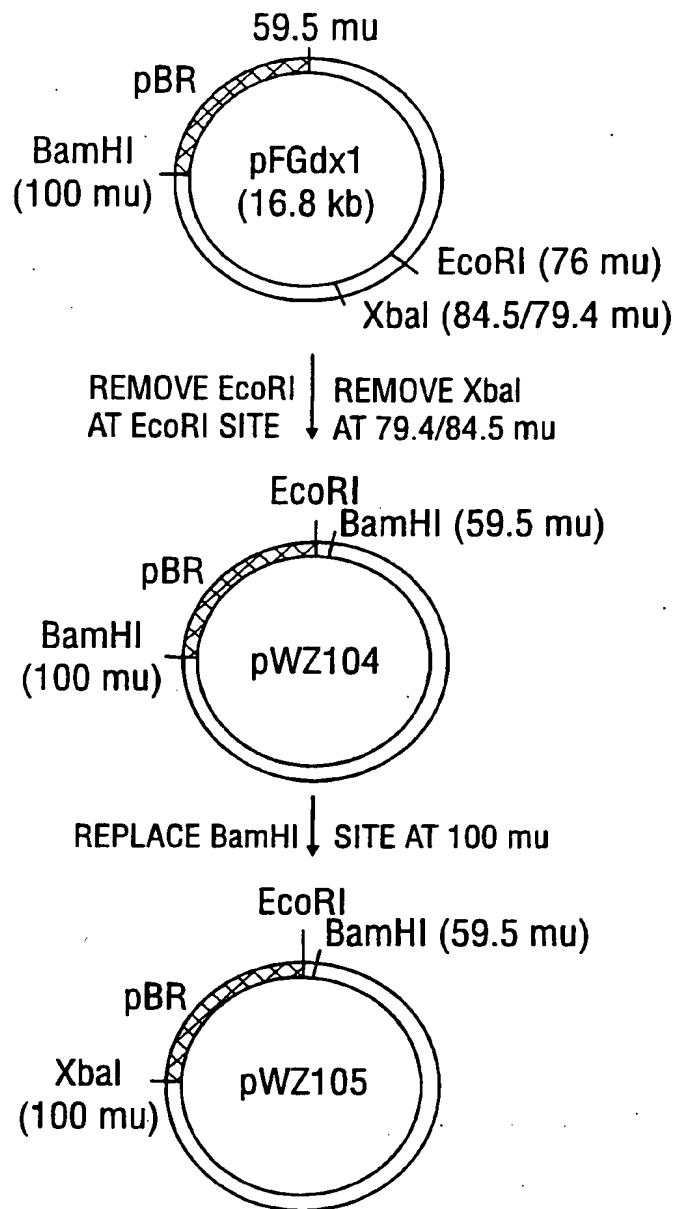


FIG. 9ii

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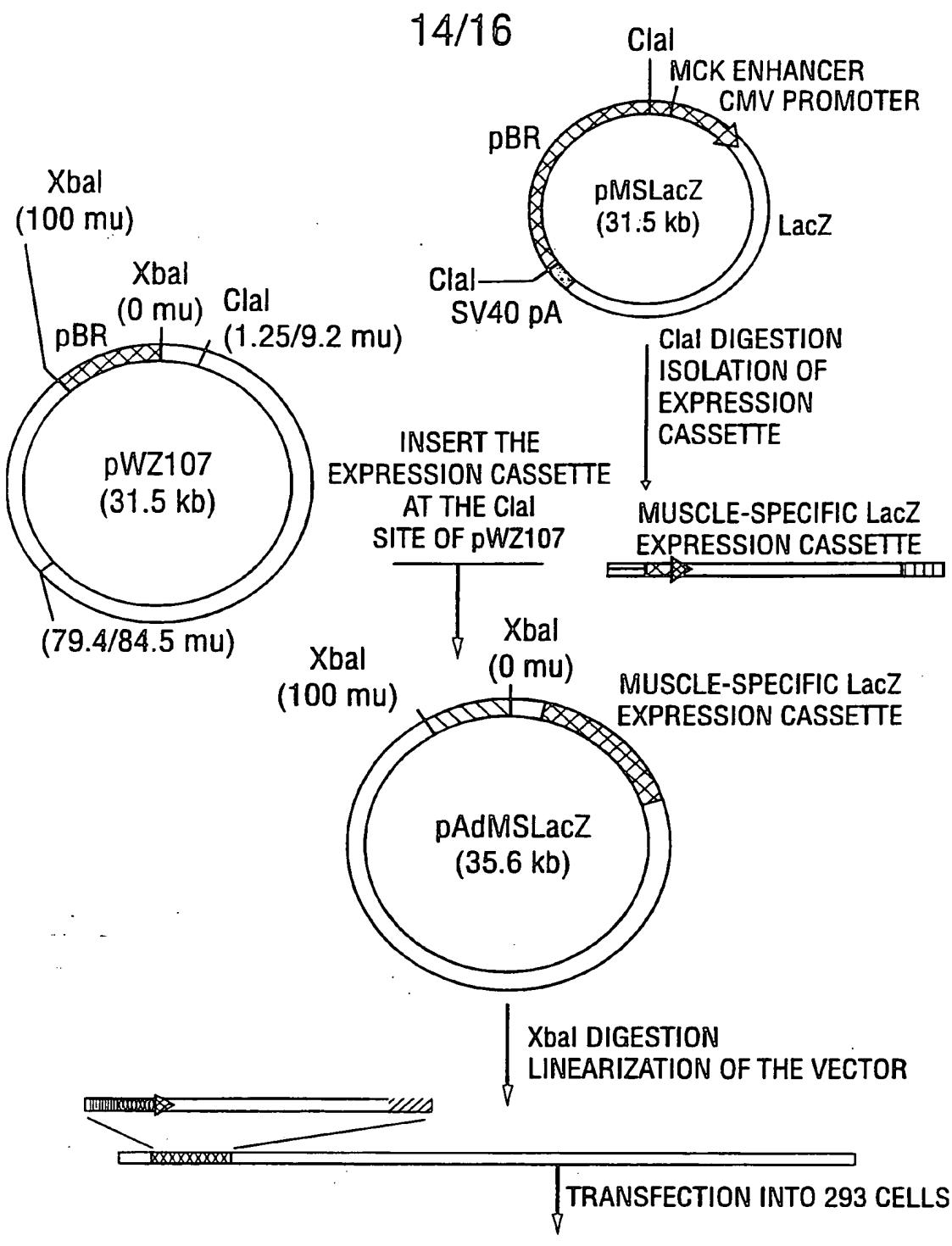


FIG. 10

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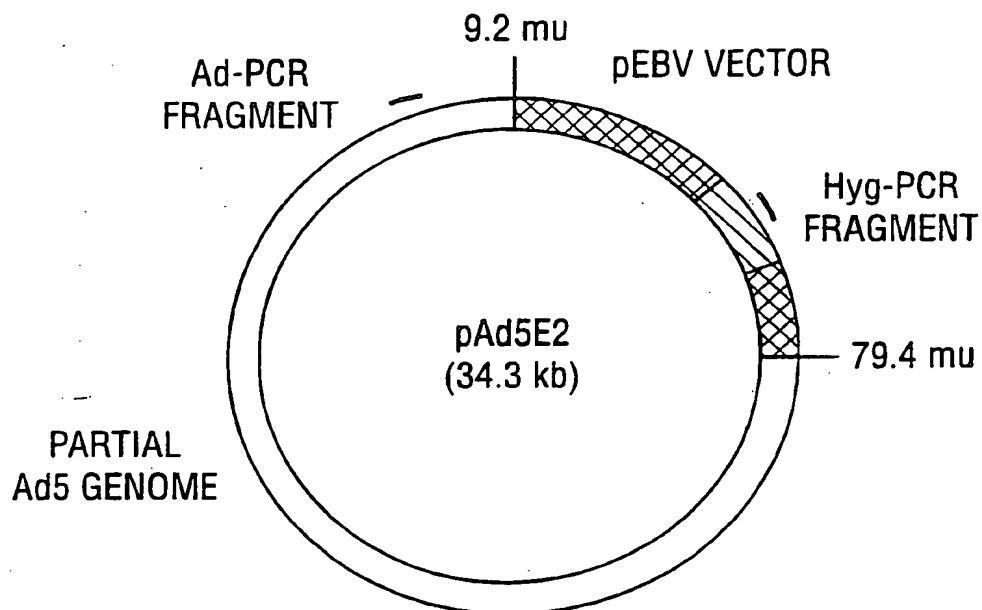


FIG. 11A

1. Hyg-PCR primers define a 0.55-kb fragment in hygromycin B gene:

5'-GTGTACGCCCGACAGTCCCG-3'  
5'-CCGATCTTAGCCAGACGAGC-3'

2. Ad-PCR primers define a 0.86-kb fragment in Ad5 genome at 10.98-13.38 μm:

5'-TCGTTCTCAGCAGCTGTTG-3'  
5'-CATCTGAACTCAAAGCGTGG-3'

FIG. 11B  
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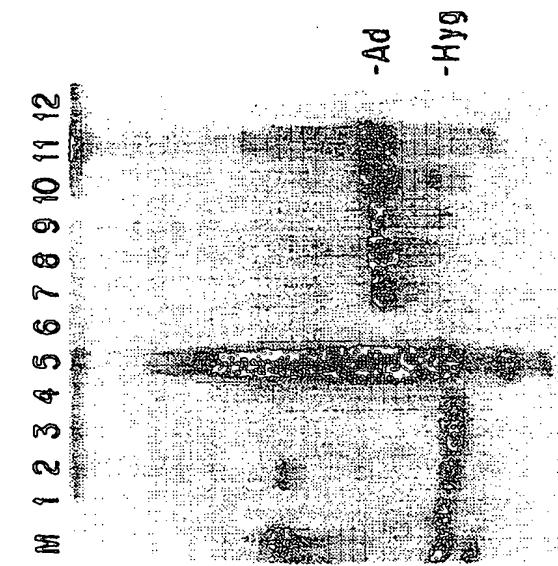


FIG. 11D

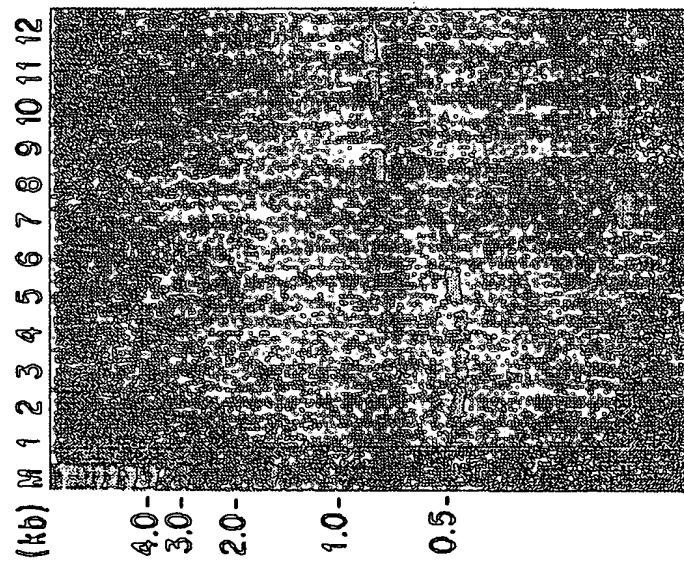


FIG. 11C

**CORRECTED  
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INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification <sup>6</sup> : <b>C12N 15/86, A61K 48/00</b>		A3	(11) International Publication Number: <b>WO 95/27071</b> (43) International Publication Date: 12 October 1995 (12.10.95)
(21) International Application Number: <b>PCT/US95/04138</b> (22) International Filing Date: 4 April 1995 (04.04.95)  (30) Priority Data: 08/222,285 4 April 1994 (04.04.94) US		(81) Designated States: AM, AT, AU, BB, BG, BR, BY, CA, CH, CN, CZ, DE, DK, EE, ES, FI, GB, GE, HU, IS, JP, KE, KG, KP, KR, KZ, LK, LR, LT, LU, LV, MD, MG, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, TJ, TT, UA, UG, UZ, VN, European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG), ARIPO patent (KE, MW, SD, SZ, UG).  Published <i>With international search report.</i>	
(71) Applicant: BOARD OF REGENTS, THE UNIVERSITY OF TEXAS SYSTEM [US/US]; 201 West 7th Street, Austin, TX 78701 (US).  (72) Inventors: ZHANG, Wei-Wei; 423 Spencer's Glen, Sugar Land, TX 77479 (US). ROTH, Jack; 2324 Bolsover, Houston, TX 77005 (US).  (74) Agent: HIGHLANDER, Steven, L.; Arnold, White & Durkee, P.O. Box 4433, Houston, TX 77210 (US).		(88) Date of publication of the international search report: 13 February 1997 (13.02.97)	
(54) Title: AN ADENOVIRUS SUPERVECTOR SYSTEM			
(57) Abstract			
<p>An adenoviral supervector system is disclosed that is capable of expressing more than 7.5 kilobases of heterologous DNA in a replication defective adenoviral vector. The supervector system comprises an adenoviral vector construct and a helper cell. The vector construct is capable of being replicated and packaged into a virion particle in the helper cell. In particular, the helper cell expresses DNA from the E2 region of the adenovirus 5 genome and complements deletions in that region in the vector construct. In certain embodiments, the disclosed invention comprises tissue specific expression of up to 30 kb of heterologous DNA directed by an adenoviral vector. Also disclosed are methods of transferring heterologous DNA into mammalian cells.</p>			

\* (Referred to in PCT Gazette No. 13/1996, Section II)

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**INTERNATIONAL SEARCH REPORT**

Int. Application No  
PCT/US 95/04138

**A. CLASSIFICATION OF SUBJECT MATTER**  
IPC 6 C12N15/86 A61K48/00

According to International Patent Classification (IPC) or to both national classification and IPC

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Minimum documentation searched (classification system followed by classification symbols)  
IPC 6 C12N A61K

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Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	VIROLOGY, vol. 191, no. 1, 1992 pages 473-476, CARTER, B.J. ETAL. 'Adenovirus containing a deletion of the Early Region 2A Gene allows growth of Adeno-Associated virus with decreased efficiency' see the whole document ---	17,20
A		1-16
P,X	WO,A,94 28152 (TRANSGENE S.A.) 8 December 1994 see page 5, line 24 - page 7, line 37 see page 9, line 24 - page 10, line 38 see page 12, line 35 - page 14, line 38 see page 16, line 37 - page 17, line 9 see page 18, line 7 - page 19, line 31 see examples 5,7 ---	1-23,25, 26

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Date of the actual completion of the international search

24 January 1996

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## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
P,X	<p>WO,A,95 02697 (RHONE-POULENC RORER S.A.)  26 January 1995  see page 2, line 14 - page 3, line 25  see page 8, line 34 - page 10, line 12  see examples 1,3,4  -----</p>	1-23,25, 26
A	<p>ADVANCES IN EXPERIMENTAL MEDICINE AND  BIOLOGY,  vol. 342, no. Coronaviruses, 1993  pages 469-470,  CALLEBAUT, P. ET AL. 'Construction of a  recombinant adenovirus for the expression  of the glycoprotein S antigen of porcine  respiratory coronavirus'  see the whole document  -----</p>	1-27

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Information on patent family members

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PCT/US 95/04138

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